

## KEY INFORMATION MEMORANDUM CUM APPLICATION FORM

### ITI VALUE FUND

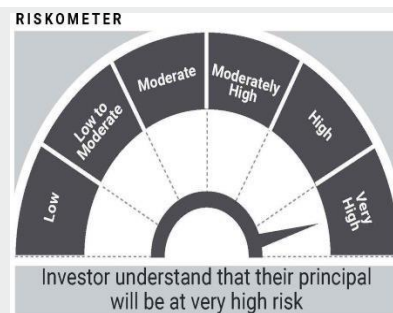
(An open ended equity scheme following a value investment strategy)

**Offer of Units of Rs. 10/- each for cash during the New Fund Offer and Continuous offer for Units at NAV based prices**

This product is suitable for investors who are seeking\*:

- Capital appreciation over long term
- Investments in portfolio predominantly consisting of equity and equity related instruments by following a value investment strategy.

\* Investors should consult their financial advisers if in doubt about whether the product is suitable for them.



This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. **For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website [www.itimf.com](http://www.itimf.com).**

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This KIM is dated May 12, 2021.

#### Name and Address of Mutual Fund

##### ITI Mutual Fund

Naman Midtown 'A' - Wing  
21st Floor, Senapati Bapat Marg  
Elphinstone Road  
Mumbai 400 013

#### Name and Address of Asset Management Company

##### ITI Asset Management Limited

Registered Office:  
Naman Midtown 'A' - Wing  
21st Floor, Senapati Bapat Marg  
Elphinstone Road  
Mumbai 400 013  
CIN: U67100MH2008PLC177677

#### Name and Address of Trustee Company

##### ITI Mutual Fund Trustee Private Limited

Registered Office:  
Naman Midtown 'A' - Wing  
21st Floor, Senapati Bapat Marg  
Elphinstone Road  
Mumbai 400 013  
CIN: U65999MH2016PTC287077

**Toll Free Number: 1800-266-9603 | Non Toll Free Number: 022-6621 4999 | Email: [mfassist@itiorg.com](mailto:mfassist@itiorg.com)**

<b>Name of the Scheme</b>	ITI Value Fund																								
<b>Type of the Scheme</b>	An open ended equity scheme following a value investment strategy																								
<b>Investment Objective</b>	<p>The investment objective of the scheme is to seek to generate long term capital appreciation by investing substantially in a portfolio of equity and equity related instruments by following value investing strategy.</p> <p>However, there can be no assurance that the investment objective of the scheme would be achieved.</p>																								
<b>Asset Allocation</b>	<p>Under normal circumstances, the asset allocation pattern will be as follows:</p> <table border="1"> <thead> <tr> <th rowspan="2">Instruments</th><th colspan="2">Indicative allocations (% of net assets)</th><th rowspan="2">Risk Profile</th></tr> <tr> <th>Maximum</th><th>Minimum</th></tr> </thead> <tbody> <tr> <td>Equity &amp; Equity related instruments including derivatives</td><td>100%</td><td>65%</td><td>High</td></tr> <tr> <td>Preference Shares</td><td>10%</td><td>0%</td><td>Medium to High</td></tr> <tr> <td>Debt and Money Market Instruments</td><td>35%</td><td>0%</td><td>Low to Medium</td></tr> <tr> <td>Units issued by REITs and InvITs</td><td>10%</td><td>0%</td><td>Medium to High</td></tr> </tbody> </table> <p>Further,</p> <ul style="list-style-type: none"> <li>• The Scheme may invest in securitized debt up to 50% of debt portfolio.</li> <li>• The Scheme may invest in equity derivatives instruments up to 50% of the Net Assets as permitted vide SEBI Circular no. DNP/Cir 29/2005 dated September 14, 2005 and SEBI Circular No. DNP/Cir-30/2006 dated January 20, 2006, SEBI circular no. SEBI/ DNP/Cir-31/2006 dated September 22, 2006 and SEBI Circular No. Cir/IMD/ DF/ 11/ 2010 dated August 18, 2010. The Scheme may also take exposure into Fixed Income derivatives up to 10% of the net assets for such purposes as maybe permitted by the Regulations, including for the purpose of hedging and portfolio balancing, based on the opportunities available and subject to guidelines issued by SEBI and RBI from time to time.</li> <li>• The Scheme may enter into plain vanilla interest rate swaps for hedging purposes. The counter party in such transactions shall have to be an entity recognized as a market maker by RBI. Further, the value of the notional principal in such cases shall not exceed the value of respective existing assets being hedged by the scheme. Exposure to a single counterparty in such transactions shall not exceed 10% of the net assets of the scheme.</li> <li>• The Scheme may engage in short selling of securities in accordance with the framework relating to Short Selling and securities lending and borrowing specified by SEBI. However, the Scheme shall not have exposure of more than 20% of its net assets in securities lending.</li> <li>• The Scheme shall not invest in Credit Default Swaps.</li> <li>• The Scheme will not invest in debt instruments having Structured Obligations / Credit Enhancements.</li> <li>• The Scheme may invest in foreign securities and ADRs/GDRs upto 35% of net assets.</li> <li>• The Scheme shall invest in repo in Corporate Bond upto 10% of net assets.</li> </ul> <p>The Scheme may invest up to US \$20 million in ADR/GDR/Foreign Securities/Overseas ETFs in the six months post closure of NFO. Post completion of the six months, the relevant provisions of SEBI Circular dated November 5, 2020 shall be applicable.</p> <p>Pending deployment in terms of the investment objective, funds may be invested in short-term deposits with scheduled commercial banks in accordance with applicable SEBI guidelines.</p> <p>In accordance with SEBI Circular No. Cir/ IMD/ DF/ 11/ 2010 dated August 18, 2010, the cumulative gross exposure through equity, debt, money market instruments, derivative</p>			Instruments	Indicative allocations (% of net assets)		Risk Profile	Maximum	Minimum	Equity & Equity related instruments including derivatives	100%	65%	High	Preference Shares	10%	0%	Medium to High	Debt and Money Market Instruments	35%	0%	Low to Medium	Units issued by REITs and InvITs	10%	0%	Medium to High
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	<p>positions and units issued by REITs &amp; InvITs should not exceed 100% of the net assets of the scheme. Same security wise hedge position shall not be considered in computing gross exposure.</p> <p>The cumulative gross exposure through debt, derivative positions (including fixed income derivatives), repo transactions in corporate debt securities, other permitted securities/assets and such other securities/assets as may be permitted by the Board from time to time should not exceed 100% of the net assets of the scheme.</p> <p><b>Please refer the Scheme Information Document (SID) of the Scheme for further details.</b></p>
<b>Investment Strategy</b>	<p>The Scheme aims to provide long term capital growth by investing in a diversified portfolio of companies that are selected using attributes of value investing. The Scheme would seek to identify undervalued securities having the potential to deliver superior risk adjusted returns over the long term and will follow a value-based approach towards investing.</p> <p>Undervalued stocks would include stocks which the Fund Managers believe are trading at less than their assessed intrinsic values. The identification of undervalued stocks would involve fundamental analysis. It will be based on the evaluation of various factors including but not limited to stock valuation, financial strength, cash flows, company's competitive advantage, business prospects and earnings potential. This may also constitute stocks, which have depreciated for a short period due to some exceptional circumstance or due to market correction phase or due to lack of interest in investing in a sector, which has significantly underperformed the market. Such stocks are considered to have intrinsic value because of their business models and show potential for smart growth in the future. The Scheme may also seek participation in other equity and equity related securities to achieve optimal portfolio construction.</p> <p>The portfolio will be built utilising a bottom-up stock selection process, focusing on appreciation potential of individual stocks from a fundamental perspective. The Scheme may also invest a certain portion of its corpus in debt and money market securities. Investment in debt securities will be guided by credit quality, liquidity, interest rates and their outlook.</p>
<b>Risk Profile of the Scheme</b>	<p><b>Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment.</b></p> <p>Scheme specific Risk Factors are summarized below:</p> <p><b>Risks associated with investing in Equities and Equity related Securities</b></p> <p>Investments in equity and equity related securities involve a degree of risk and investors should not invest in equity schemes unless they afford to take the risk of losing their investment.</p> <p>Equity instruments by nature are volatile and prone to price fluctuations on a daily basis due to both micro and macro factors. Volatility in the capital markets, changes in policies of the Government, taxation laws or any other political and economic development may negatively affect the prices of the securities invested in by the Scheme. Trading volumes, settlement periods and transfer procedures may restrict the liquidity of some of the investments. The Scheme may inter-alia also may be exposed to the risk arising from over exposure to few securities/issuers/sectors.</p> <p><b>Risks associated with investing in debt and / or Money Market Securities:</b></p> <p>The NAV of the Scheme, to the extent invested in Debt and Money Market securities, will be affected by changes in the interest rates due to various factors such as government borrowing, inflation, economic performance etc. The NAV of the Scheme is expected to increase from a fall in interest rates while it would be adversely affected by an increase in the level of interest rates. Investments in Fixed Income securities may inter-alia carry Re-investment Risk, Spread Risk, Credit/Default Risk, Liquidity Risk, Prepayment Risk, etc.</p>

**Risks associated with investments in Derivatives**

Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of fund manager may not always be profitable. The risks associated with the use of derivatives are different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments.

**Risks associated with investing in repo transactions in corporate bonds:**

The market for the aforesaid product is illiquid. Hence, repo obligations cannot be easily sold to other parties. If a counterparty fails, the scheme would have to take recourse to the collateral provided. If a counterparty fails to repay and the value of the collateral falls beyond the haircut, then the Scheme would be exposed to a loss of interest or principal.

Further, if the Scheme needs to take recourse to the debt securities provided as collateral, and the issuer of the debt securities makes a default, the scheme may lose the whole, or substantial portion of the amount. This risk is somewhat mitigated by the fact that only bonds which have credit rating of AA and above can be accepted as collateral for repo transactions.

**Risks associated with investing in Securities Segment and Tri-party Repo trade settlement**

The mutual fund is exposed to the extent of its contribution to the default fund of CCIL at any given point in time i.e. in the event that the default waterfall is triggered and the contribution of the mutual fund is called upon to absorb settlement/default losses of another member by CCIL, the scheme may lose an amount equivalent to its contribution to the default fund.

**Risk factors associated with investments in REITs and InvITs;**

Investment in REITs and InvITs carry Liquidity Risk, Re-investment Risk, Price Risk, Interest Rate Risk, Credit Risk, Regulatory/Legal Risk etc.

**Risks associated with transaction in Units through stock exchange(s):**

In respect of transaction in Units of the Scheme through BSE and / or NSE (applicable to the facility to transact in the Units of the Scheme through the Stock Exchange mechanism provided by the AMC), allotment and redemption of Units on any Business Day will depend upon the order processing / settlement by BSE and / or NSE and their respective clearing corporations on which the Fund has no control.

**Risks associated with Restrictions on Redemption:**

The Trustee and the AMC may impose restrictions on redemptions when there are circumstances leading to a systemic crisis or event that severely constricts market liquidity or the efficient functioning of markets. Accordingly, such restriction may affect the liquidity of the Scheme and there may be a delay in investors receiving part of their redemption proceeds.

**Risks associated with Segregated portfolio:**

The AMC / Trustee shall decide on creation of segregated portfolio of the Scheme in case of a credit event at issuer level i.e. downgrade in credit rating by a Credit Rating Agencies (CRA) or actual default (in case of unrated debt or money market instruments). Accordingly, Investor holding units of segregated portfolio may not be able to liquidate their holding till the time recovery of money from the issuer. The Security comprises of segregated portfolio may not realise any value.

Further, Listing of units of segregated portfolio in recognised stock exchange does not necessarily guarantee their liquidity. There may not be active trading of units in the stock market. Further trading price of units on the stock market may be significantly lower than the prevailing NAV.

**Please refer the SID for further details.**

<b>Risk Factors</b>	<b>Mitigation</b>	<p>The asset allocation of the Scheme will be steadily monitored and it shall be ensured that investments are made in accordance with the scheme objective and within the regulatory and internal investment restrictions prescribed from time to time. A detailed process has been designed to identify measure, monitor and manage portfolio risk.</p> <p>Some of the risks and the corresponding risk mitigating strategies are listed below:</p> <table><tr><th><b>Risk &amp; Description specific to the Scheme</b></th><th><b>Risk mitigants / management strategy</b></th></tr><tr><td><b>Market risk</b> Risk arising due to vulnerability to price fluctuations and volatility, having material impact on the overall returns of the scheme</td><td>Endeavour to have a well diversified portfolio of good companies with the ability to use cash/derivatives for hedging</td></tr><tr><td><b>Derivatives risk</b> Various inherent risks arising as a consequence of investing in derivatives.</td><td>Continuous monitoring of the derivatives positions and strictly adheres to the regulations and internal norms</td></tr><tr><td><b>Credit risk</b> Risk associated with repayment of investment</td><td>Investment universe carefully selected to only include issuers with high credit quality</td></tr><tr><td><b>Performance risk</b> Risk arising due to change in factors affecting the market</td><td>Understand the working of the markets and respond effectively to market movements</td></tr><tr><td><b>Concentration risk</b> Risk arising due to over exposure in few securities</td><td>Invest across the spectrum of issuers and keeping flexibility to invest across tenor</td></tr><tr><td><b>Liquidity risk</b> Risk arising due to inefficient Asset Liability Management, resulting in high impact costs</td><td>Control portfolio liquidity at portfolio construction stage. Having optimum mix of cash &amp; cash equivalents along with the debt papers in the portfolio</td></tr><tr><td><b>Interest rate risk</b> Price volatility due to movement in interest rates</td><td>Control the portfolio duration and periodically evaluate the portfolio structure with respect to existing interest rate scenario</td></tr><tr><td><b>Event risk</b> Price risk due to company or sector specific event</td><td>Understand businesses to respond effectively and speedily to events. Usage of derivatives: Hedge portfolios, if required, in case of predictable events with uncertain outcomes</td></tr></table>	<b>Risk &amp; Description specific to the Scheme</b>	<b>Risk mitigants / management strategy</b>	<b>Market risk</b> Risk arising due to vulnerability to price fluctuations and volatility, having material impact on the overall returns of the scheme	Endeavour to have a well diversified portfolio of good companies with the ability to use cash/derivatives for hedging	<b>Derivatives risk</b> Various inherent risks arising as a consequence of investing in derivatives.	Continuous monitoring of the derivatives positions and strictly adheres to the regulations and internal norms	<b>Credit risk</b> Risk associated with repayment of investment	Investment universe carefully selected to only include issuers with high credit quality	<b>Performance risk</b> Risk arising due to change in factors affecting the market	Understand the working of the markets and respond effectively to market movements	<b>Concentration risk</b> Risk arising due to over exposure in few securities	Invest across the spectrum of issuers and keeping flexibility to invest across tenor	<b>Liquidity risk</b> Risk arising due to inefficient Asset Liability Management, resulting in high impact costs	Control portfolio liquidity at portfolio construction stage. Having optimum mix of cash & cash equivalents along with the debt papers in the portfolio	<b>Interest rate risk</b> Price volatility due to movement in interest rates	Control the portfolio duration and periodically evaluate the portfolio structure with respect to existing interest rate scenario	<b>Event risk</b> Price risk due to company or sector specific event	Understand businesses to respond effectively and speedily to events. Usage of derivatives: Hedge portfolios, if required, in case of predictable events with uncertain outcomes
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<b>Plans / Options offered</b>	<p>The Scheme will have two Plans i.e. Regular Plan and Direct Plan. Each plan offers the following options:</p> <p><b>a) Growth Option</b></p> <p><b>b) Dividend Option</b></p> <ul style="list-style-type: none"><li>• Payout of Income Distribution cum capital withdrawal option</li><li>• Dividend Re-investment of Income Distribution cum capital withdrawal option</li></ul> <p>Direct Plan is only for investors who purchase /subscribe Units in a Scheme directly with the Fund (i.e. investments not routed through an AMFI Registration Number (ARN) Holder).</p> <p>Under the Growth option, no dividend will be declared. Under the Dividend option, dividend may be declared by the Trustee, at its discretion, from time to time (subject to the availability of distributable surplus as calculated in accordance with the SEBI Regulations).</p> <p>If the investor does not clearly specify the choice of option (Growth / Dividend) at the time of investing, it will be treated as a Growth option. If the investor does not clearly</p>																			

specify at the time of investing, the choice of option under Dividend, it will be treated as a dividend reinvestment option.

The Trustee may decide to distribute by way of dividend, the surplus by way of realised profit, dividends and interest, net of losses, expenses and taxes, if any, to Unit-holders in the Dividend option of the Scheme if such surplus is available and adequate for distribution in the opinion of the Trustee. The Trustee's decision with regard to availability and adequacy, rate, timing and frequency of distribution shall be final. The dividend will be due to only those Unit-holders whose names appear in the register of Unit Holders in the dividend option of the Scheme on the record date.

#### **Default Plan**

Investors subscribing under Direct Plan of the Scheme will have to indicate "Direct Plan" against the Scheme name in the application form. However, if distributor code is mentioned in application form, but "Direct Plan" is mentioned against the Scheme name, the distributor code will be ignored and the application will be processed under "Direct Plan". Further, where application is received for Regular Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan.

The below table summarizes the procedures which would be adopted by the AMC for applicability of Direct Plan / Regular Plan, while processing application form /transaction request under different scenarios:

Sr. no	AMFI Registration Number (ARN) Code mentioned in the application Form / transaction request	Plan as selected in the application form / transaction request	Transaction shall be processed and Units shall be allotted under
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not Mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not Mentioned	Regular Plan

In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall endeavour to contact the investor/distributor and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load.

#### **Default Option – Growth**

#### **Default facility under Dividend Option – Reinvestment of Income Distribution cum capital withdrawal option**

#### **Applicable NAV (after the scheme opens for repurchase and sale)**

The following cut-off timings shall be observed by Mutual Fund in respect of purchase/ redemption/ switches of units of the scheme (irrespective of application amount), and the following NAVs shall be applied in each case:

In respect of valid applications received upto 3.00 p.m on a business day at the official point(s) of acceptance and funds for the entire amount of subscription / purchase (including switch-in) as per the application are credited to the bank account of the respective scheme before the cut-off time i.e. available for utilization before the cut-off time – the closing NAV of the day shall be applicable.

In respect of valid applications received after 3.00 p.m on a business day at the official

	<p>point(s) of acceptance and funds for the entire amount of subscription / purchase (including switch-in) as per the application are credited to the bank account of the respective scheme before the cut-off time of the next business day i.e. available for utilization before the cut-off time of the next business day – the closing NAV of the next business day shall be applicable.</p> <p>Irrespective of the time of receipt of application at the official point(s) of acceptance, where funds for the entire amount of subscription / purchase as per the application are credited to the bank account of the respective scheme before the cut-off time on any subsequent business day i.e. available for utilisation before the cut-off time on any subsequent business day - the closing NAV of such subsequent business day shall be applicable.</p> <p>For Redemption / switch out under both the Plans</p> <p>(a) where the application is received upto 3.00 p.m. – the closing NAV of the day; and (b) where the application is received after 3.00 p.m. – the closing NAV of the next Business Day.</p> <p>Note: In case the application is received on a Non-Business Day, it will be considered as if received on the Next Business Day.</p> <p>The above mentioned cut off timing shall also be applicable to transactions through the online trading platform.</p> <p>In case of Transaction through Stock Exchange Infrastructure, the Date of Acceptance will be reckoned as per the date &amp; time; the transaction is entered in stock exchange's infrastructure for which a system generated confirmation slip will be issued to the investor.</p>		
<b>Minimum Application amount / Number of units</b>	<b>Purchase</b>  Rs. 5,000 and in multiples of Re.1 thereafter	<b>Additional Purchase</b>  Rs. 1,000 and in multiples of Rs. 1 thereafter.	<b>Minimum redemption amount / number of units</b>  Rs. 1,000/- and in multiples of Rs. 1/- thereafter or the account balance, whichever is lower.
<b>Despatch of repurchase / redemption request</b>	Within 10 working days of the receipt of the redemption request at the authorised centre of the ITI Mutual Fund.		
<b>Benchmark Index</b>	Nifty 500 Value 50 TRI  The Fund reserves the right to change the benchmark for evaluation of the performance of the Scheme from time to time, subject to SEBI Regulations and other prevailing guidelines if any.		
<b>Dividend policy</b>	Under the Dividend option, the Trustee will have discretion to declare the dividend, subject to availability of distributable surplus calculated in accordance with the Regulations. The actual declaration of Dividend and frequency will inter-alia, depend on availability of distributable surplus calculated in accordance with SEBI (MF) Regulations and the decisions of the Trustee shall be final in this regard. There is no assurance or guarantee to the unitholder as to the rate of Dividend nor that will the Dividend be paid regularly.		
<b>Name of the Fund Managers</b>	All funds will be managed in a co-fund manager model. Co-managed by Mr. Pradeep Gokhale & Mr. Rohan Korde. Further, Mr. Pradeep Gokhale is the dedicated Fund Manager for making overseas investments as permitted under the Regulations, guidelines and circulars issued from time to time.  <b>Tenure:</b> The Scheme is a new scheme and hence the same is not applicable.		
<b>Name of the Trustee Company</b>	ITI Mutual Fund Trustee Private Limited		
<b>Performance of the Scheme</b>	The Scheme is a new scheme and hence does not have any performance track record.		
<b>Additional Scheme</b>	<b>a) Scheme's portfolio holdings:</b>		

<b>related Disclosures</b>	<p>Not applicable as the scheme is a new scheme.</p> <p><b>Fund allocation towards various sectors:</b> Not applicable as the scheme is a new scheme.</p> <p><b>Website link for Monthly Portfolio Holding:</b> Not applicable as the scheme is a new scheme.</p>
<b>Expenses of the scheme</b>  <b>(i) Load Structure</b>	<p><b>Entry Load:</b> Not Applicable</p> <p>Pursuant to SEBI circular no. SEBI/IMD/CIR No.4/168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder (AMFI registered Distributor) directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder.</p> <p><b>Exit Load –</b></p> <ul style="list-style-type: none"> <li>• 1% if redeemed or switched out on or before completion of 12 months from the date of allotment of units;</li> <li>• Nil, if redeemed or switched out after completion of 12 months from the date of allotment of units.</li> </ul> <p>Redemption of units would be done on First in First out Basis (FIFO).</p> <p>A switch-out under Systematic Transfer Plan ("STP") or a withdrawal under Systematic Withdrawal Plan ("SWP") shall also attract an Exit Load like any Redemption.</p> <p>Exit Load (net of Goods and Service Tax), if any charged, shall be credited to the Scheme. No exit load will be chargeable in case of switches made between different options of the scheme. Subject to the Regulations, the Trustees retains the right to change / impose an exit load.</p>
<b>(ii) Recurring Expenses</b>	<p>The AMC has estimated that upto 2.25% of daily net assets of the Scheme will be charged to the Scheme as expenses for the first Rs. 500 crores of the daily net assets of the Scheme. The same may be reduced to the extent of increase in the corpus size. For the actual current expenses being charged, the Investor should refer to the website of the AMC.</p> <p>Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc. and no commission for distribution of Units will be paid/ charged under Direct Plan.</p> <p>Goods and Services tax on expenses other than the investment management and advisory fees, if any, shall be charged to the Scheme within the maximum limit of total expense ratio as prescribed under regulation 52 of the SEBI (MF) Regulations. Goods and Services tax on brokerage and transaction cost paid for execution of trade, if any, shall be within the limit prescribed under regulation 52 of the SEBI (MF) Regulations.</p> <p>In terms of SEBI circular no. CIR/IMD/DF/21/2012 dated September 13, 2012, the AMC shall annually set apart at least 0.02% on daily net assets within the maximum limit of recurring expenses as per regulation 52 for investor education and awareness initiatives.</p> <p>The total expenses of the Scheme including the investment management and advisory fee shall not exceed the limits stated in Regulation 52(6) which are as follows:</p> <ul style="list-style-type: none"> <li>• On the first Rs. 500 crores of the daily net assets: 2.25%</li> <li>• On the next Rs. 250 crores of the daily net assets: 2.00%</li> <li>• On the next Rs. 1,250 crores of the daily net assets: 1.75%</li> <li>• On the next Rs. 3,000 crores of the daily net assets: 1.60%</li> <li>• On the next Rs. 5,000 crores of the daily net assets: 1.50%</li> <li>• On the next Rs. 40,000 crores of the daily net assets: Total expense ratio reduction of 0.05% for every increase of Rs. 5,000 crores of daily net assets or part thereof.</li> </ul>

	<ul style="list-style-type: none"> <li>On balance of the assets: 1.05%</li> </ul> <p>In addition to the limits specified in regulation 52(6), the following costs or expenses may be charged to the Scheme as per regulation 52 (6A), namely-</p> <p>(a) Brokerage and Transaction costs incurred for the execution of trades may be capitalized to the extent of 0.12 per cent of the value of trades in case of cash market transactions and 0.05 per cent of the value of trades in case of derivatives transactions.</p> <p>(b) Expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from such cities as specified by SEBI/AMFI from time to time are at least –</p> <p>(i) 30 per cent of gross new inflows in the Scheme, or;</p> <p>(ii) 15 per cent of the average assets under management (year to date) of the Scheme, whichever is higher:</p> <p>Provided that if inflows from such cities is less than the higher of sub-clause (i) or sub-clause (ii), such expenses on daily net assets of the Scheme shall be charged on proportionate basis. Provided further that expenses charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from such cities.</p> <p>Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the scheme in case the said inflows are redeemed within a period of one year from the date of investment. Provided further that, additional TER can be charged based on inflows only from retail investors from B30 cities in terms of SEBI circular no. SEBI/HO/IMD/DF2/CIR/P/2018/137 dated October 22, 2018 read with SEBI circular no. SEBI/HO/IMD/DF2/CIR/P/2019/42 dated March 25, 2019.</p> <p>For this purpose inflows of amount upto Rs 2,00,000/- per transaction, by individual investors shall be considered as inflows from “retail investor”.</p> <p>Further, Goods and Services Tax on investment management and advisory fees shall be charged to the Scheme, in addition to the above expenses, as prescribed under the SEBI (MF) Regulations. All Scheme related expenses including commission paid to distributors, by whatever name it may be called and in whatever manner it may be paid, shall necessarily be paid from the Scheme only within the regulatory limits and not from the books of the AMC, its Associate, Sponsor, Trustee or any other entity through any route. However, expenses that are very small in value but high in volume may be paid out of AMC’s books at actuals or not exceeding 2 bps of respective Scheme AUM, whichever is lower. A list of such miscellaneous expenses will be as provided by AMFI in consultation with SEBI.</p> <p>The current expense ratios will be updated on the AMC website at least three working days prior to the effective date of the change. The exact web link for TER is <a href="http://www.itimf.com/statutory-disclosure/total-expense-ratio">http://www.itimf.com/statutory-disclosure/total-expense-ratio</a>.</p>						
<b>Transaction Charges</b>	<p>In accordance with SEBI Circular No. IMD/ DF/13/ 2011 dated August 22, 2011, the AMC/ Fund shall deduct a Transaction Charge on per purchase /subscription of Rs. 10,000/- and above, as may be received from new investors (an investor who invests for the first time in any mutual fund schemes) and existing investors. The distributors shall have an option to either “Opt-in / Opt-out” from levying transaction charge based on the type of product. Therefore, the “Opt-in / Opt-out” status shall be at distributor level, basis the product selected by the distributor.</p> <p>Transaction charges shall be deducted for Applications for purchase/ subscription received through distributor/ agent as under (only if that distributor / agent has opted to receive the transaction charges):</p> <table border="1" data-bbox="435 1832 1481 2076"> <thead> <tr> <th>Investor Type</th><th>Transaction Charges</th></tr> </thead> <tbody> <tr> <td>New Investor (First Time Mutual Fund Investor)</td><td>Transaction charge of Rs.150/- for per purchase / subscription of Rs.10,000 and above will be deducted from the subscription amount and paid to the distributor/agent of the first time investor. The balance of the subscription amount shall be invested.</td></tr> <tr> <td>Existing Investor</td><td>Transaction charge of Rs.100/- for per purchase / subscription of Rs.10,000 and above will be deducted from</td></tr> </tbody> </table>	Investor Type	Transaction Charges	New Investor (First Time Mutual Fund Investor)	Transaction charge of Rs.150/- for per purchase / subscription of Rs.10,000 and above will be deducted from the subscription amount and paid to the distributor/agent of the first time investor. The balance of the subscription amount shall be invested.	Existing Investor	Transaction charge of Rs.100/- for per purchase / subscription of Rs.10,000 and above will be deducted from
Investor Type	Transaction Charges						
New Investor (First Time Mutual Fund Investor)	Transaction charge of Rs.150/- for per purchase / subscription of Rs.10,000 and above will be deducted from the subscription amount and paid to the distributor/agent of the first time investor. The balance of the subscription amount shall be invested.						
Existing Investor	Transaction charge of Rs.100/- for per purchase / subscription of Rs.10,000 and above will be deducted from						

		<p>the subscription amount and paid to the distributor/agent of the first time investor. The balance of the subscription amount shall be invested.</p> <p>The transaction charges and the net investment amount and the number of units allotted will be clearly mentioned the Account Statement issued by the Mutual Fund.</p> <p>In case of investments through Systematic Investment Plan (SIP) the transaction charges shall be deducted only if the total commitment through SIP (i.e. amount per SIP installment x No. of installments) amounts to Rs. 10,000/-and above. In such cases, the transaction charges shall be deducted in 3-4 installments.</p> <p>Transaction charges shall not be deducted if:</p> <ol style="list-style-type: none"> <li>The amount per purchases /subscriptions is less than Rs. 10,000/-;</li> <li>The transaction pertains to other than purchases/ subscriptions relating to new inflows such as Switch/SIP/SWP/STP etc.</li> <li>Purchases/Subscriptions made directly with the Fund through any mode (i.e. not through any distributor/ agent).</li> <li>Subscription made through Exchange Platform irrespective of investment amount.</li> </ol>
<b>Waiver of Load for Direct Applications</b>		Not Applicable
<b>Tax Treatment for the Investors</b>		Investors are advised to refer to the paragraph on 'Taxation' in the Statement of Additional Information and also independently refer to their tax advisor.
<b>Daily Net Asset Value (NAV) publication</b>		The AMC will calculate and disclose the first NAV of the Scheme within 5 business days from the date of allotment. Subsequently, the AMC will calculate and disclose the NAVs on all the Business Days. The AMC shall update the NAVs on its website ( <a href="http://www.itimf.com">www.itimf.com</a> ) and on the Association of Mutual Funds in India - AMFI ( <a href="http://www.amfiindia.com">www.amfiindia.com</a> ) before 11.00 p.m. on every Business Day.
<b>For grievances contact</b>	<b>Investor please</b>	<p><b>ITI mutual Fund</b></p> <p>Ms. Pallavi Singh          Naman Midtown, 'A' Wing, 21st floor,          Senapati Bapat Marg, Prabhadevi, Mumbai 400 013, India          Phone No. : 022-6621 4999          Toll Free No. : 1800-266-9603          Email id : mfasst@itiorg.com</p> <p><b>Registrar</b></p> <p><b>K-Fintech private Limited</b>          Karvy Selenium Tower B, Plot No. 31 &amp; 32,          Gachibowli, Financial District          Nanakramguda, Serilingampally, Hyderabad 500032</p>
<b>Unitholders Information</b>		<p><b>Account Statements:</b></p> <p>On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of e-mail and/or SMS within 5 business days from the date of receipt of transaction request will be sent to the Unitholders registered e-mail address and/or mobile number. Where investors/Unitholders, have provided an email address, an account statement reflecting the units allotted to the Unitholder shall be sent by email on their registered email address. The Unitholder may request for a physical account statement by writing/calling the AMC/ISC/RTA. The AMC shall dispatch an account statement within 5 Business Days from the date of the receipt of request from the Unit holder.</p> <p><b>Consolidated Account Statement (CAS):</b></p> <p>Consolidated account statement for each calendar month shall be issued, on or before 15<sup>th</sup> day of succeeding month, detailing all the transactions and holding at the end of the month including the total purchase value/cost of investment in each scheme and</p>

transaction charges paid to the distributor, across all schemes of all mutual funds, to all the investors in whose folios transaction has taken place during that month. The AMC shall identify common investors across fund houses by their permanent account number (PAN) for the purposes of sending CAS. In the event the account has more than one registered holder, the first named Unitholder shall receive the CAS.

Pursuant to SEBI Circular no. SEBI/HO/IMD/DF2/CIR/P/2016/42 dated March 18, 2016 read with SEBI/HO/IMD/DF2/CIR/P/2016/89 dated September 20, 2016, following additional disclosure(s) shall be provided in CAS issued for the half year (ended September/March):

- a) The amount of actual commission paid by the AMCs/Mutual Funds (MFs) to distributors (in absolute terms) during the half-year period against the concerned investor's total investments in each MF Scheme. The term 'commission' here refers to all direct monetary payments and other payments made in the form of gifts/rewards, trips, event sponsorships etc. by the AMC/MFs to the distributors. Further, the commission disclosed in CAS shall be gross commission and shall not exclude costs incurred by distributors such as Goods and Services tax (wherever applicable, as per existing rates), operating expenses, etc.
- b) The scheme's average total expense ratio (in percentage terms) for the half-year period for the scheme's applicable plan (regular or direct or both) where the concerned investor has actually invested in.

Such half-yearly CAS shall be issued to all MF investors, excluding those investors who do not have any holdings in MF schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

The transactions viz. purchase, redemption, switch, Payout of Income Distribution cum capital withdrawal option, Reinvestment of Income Distribution cum capital withdrawal option, systematic investment plan, systematic withdrawal plan and systematic transfer plan, carried out by the Unit holders shall be reflected in the CAS on the basis of PAN. The CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN.

Pursuant to SEBI Circular no. CIR/MRD/DP/31/2014 dated November 12, 2014, Depositories shall generate and dispatch a single consolidated account statement for investors (in whose folio the transaction has taken place during the month) having mutual fund investments and holding demat accounts.

Based on the PANs provided by the asset management companies/mutual funds' registrar and transfer agents (AMCs/MF-RTAs), the Depositories shall match their PAN database to determine the common PANs and allocate the PANs among themselves for the purpose of sending CAS. For PANs which are common between depositories and AMCs, the Depositories shall send the CAS.

In other cases (i.e. PANs with no demat account and only MF units holding), the AMCs/MF-RTAs shall continue to send the CAS to their unit holders as is being done presently in compliance with the Regulation 36(4) of the SEBI (Mutual Funds) Regulations.

Where statements are presently being dispatched by email either by the Mutual Funds or by the Depositories, CAS shall be sent through email. However, where an investor does not wish to receive CAS through email, option shall be given to the investor to receive the CAS in physical form at the address registered in the Depository system.

#### **Half yearly Consolidated Account Statement:**

A consolidated account statement detailing holding across all schemes at the end of every six months (i.e. September/March), on or before 21<sup>st</sup> day of succeeding month, to all such Unitholders holding units in non- demat form in whose folios no transaction has taken place during that period shall be sent by email.

	<p>The half yearly consolidated account statement will be sent by e-mail to the Unit holders whose e-mail address is registered with the Fund, unless a specific request is made to receive the same in physical mode.</p> <p><b>Annual report:</b></p> <p>The Annual Report or Abridged summary thereof in the format prescribed by SEBI will be hosted within four months from the date of closure of the relevant accounting year (i.e. March 31st each year) on AMC's website (<a href="http://www.itimf.com">www.itimf.com</a>) and on the website of AMFI (<a href="http://www.amfiindia.com">www.amfiindia.com</a>). The Annual Report or Abridged Summary thereof will also be sent by way of e-mail to the Unit holder's registered e-mail address. Unit holders, who have not registered their email address, will have an option of receiving a physical copy of the Annual Report or Abridged summary thereof.</p> <p>The Fund will provide a physical copy of the abridged summary of the Annual Report, without charging any cost, on specific request received from a Unit holder. Physical copies of the report will also be available to the Unit holders at the registered office at all times. The Fund will publish an advertisement every year, in the all India edition of at least two daily newspapers, one each in English and Hindi, disclosing the hosting of the scheme wise annual report on the AMC's website (<a href="http://www.itimf.com">www.itimf.com</a>) and on the website of AMFI (<a href="http://www.amfiindia.com">www.amfiindia.com</a>) and the modes such as SMS, telephone, email or written request (letter) through which a unitholder can submit a request for a physical or electronic copy of the of the scheme wise annual report or abridged summary thereof.</p> <p><b>Monthly portfolio Disclosure:</b></p> <p>The Mutual Fund shall disclose portfolio of the Scheme on the website of the AMC "<a href="http://www.itimf.com">www.itimf.com</a>" and Association of Mutual Funds in India "<a href="http://www.amfiindia.com">www.amfiindia.com</a>" along with ISIN on a monthly basis as on last day of each month, on or before tenth day of the succeeding month.</p> <p>The AMC will also provide a dashboard, in a comparable, downloadable (spreadsheet) and machine readable format, providing performance and key disclosures like Scheme's AUM, investment objective, expense ratios, portfolio details, scheme's past performance etc. on its website.</p> <p><b>Half yearly portfolio Disclosure:</b></p> <p>The Mutual Fund shall within 10 days from the close of each half year (i.e. 31st March and 30th September), host a soft copy of its Scheme Portfolio on its website "<a href="http://www.itimf.com">www.itimf.com</a>". The Mutual Fund shall also publish an advertisement disclosing the hosting of such half-yearly Scheme Portfolio on its website, in at least one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated. The Scheme Portfolio shall also be displayed on the website of AMFI. The physical copy of the Scheme Portfolio Statement shall be made available to the Unit holders on request.</p> <p><b>For further details, please refer the SID of the Scheme.</b></p>
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#### Additional Scheme Disclosures

**a) Scheme's portfolio holdings:**

Not applicable as the scheme is a new scheme.

**Fund allocation towards various sectors:**

Not applicable as the scheme is a new scheme.

**Website link for Monthly Portfolio Holding:**

Not applicable as the scheme is a new scheme.

**b) The Portfolio Turnover Ratio has not been given since the scheme is a new scheme.**

**COMPARISON WITH EXISTING OPEN-ENDED EQUITY SCHEMES:**

Scheme Name	Type	Investment Objective	Differentiation	AUM (Rs in crore) as on April 30, 2021	No. of folios as on April 30, 2021
ITI Multi Cap Fund	An Open Ended Equity Scheme investing across Large Cap, Mid Cap, Small Cap Stocks	The investment objective of the Scheme is to generate long-term capital appreciation from a diversified portfolio that predominantly invests in equity and equity-related securities of companies across various market capitalisation. However, there is no assurance or guarantee that the investment objective of the scheme will be achieved.	<p>The scheme will invest across large cap, mid cap and small cap stocks.</p> <p>Asset Allocation under normal circumstances:</p> <p>Equity and Equity related securities across market cap – 65% - 100%</p> <p>Debt &amp; Money Market Instruments – 0% - 35%</p>	206.38	17,039
ITI Long Term Equity Fund	An open ended equity linked saving scheme with a statutory lock in of 3 years and tax benefit	To provide long-term capital appreciation by investing predominantly in equity and equity related securities. However, there is no assurance or guarantee that the investment objective of the Scheme will be achieved. The scheme does not assure or guarantee any returns.	<p>This Scheme seeks to generate income and long term capital appreciation by investing substantially in a portfolio consisting of equity and equity related securities.</p> <p>Asset Allocation under normal circumstances:</p> <p>Equity and Equity related securities – 80% - 100%</p> <p>Short Term Debt &amp; Money Market Instruments – 0% - 20%</p>	76.87	10,750
ITI Small Cap Fund	An open ended equity scheme predominantly investing in small cap stocks	The investment objective of the Scheme is to generate capital appreciation by predominantly investing in equity and equity related securities of small cap companies. However, there can be no assurance that the investment objective of the scheme would be achieved.	<p>The Scheme shall follow a predominantly small cap strategy with a minimum exposure of 65% to Small-Cap stocks.</p> <p>Asset Allocation under normal circumstances –</p> <p>Equity and Equity related instruments of small cap companies - 65% - 100%;</p> <p>Equity and Equity related instruments of other than small cap companies: 0% - 35%;</p> <p>debt &amp; money market instruments: 0% - 35%;</p> <p>Units issued by REITs and InvITs: 0% - 10%.</p>	315.32	17,788
ITI Arbitrage Fund	An open ended scheme investing in arbitrage opportunities	The investment objective of the Scheme is to generate income by predominantly investing in arbitrage opportunities in the cash and the derivative segments of the equity markets and the	The scheme is to generate capital appreciation and income by predominantly investing in arbitrage opportunities in the cash and derivatives segment of the equity market, and by	67.82	483

Scheme Name	Type	Investment Objective	Differentiation	AUM (Rs in crore) as on April 30, 2021	No. of folios as on April 30, 2021
		arbitrage opportunities available within the derivative segment and by investing the balance in debt and money market instruments. However, there is no assurance that the investment objective of the scheme will be realized.	investing the balance in debt and money market instruments. Asset Allocation under normal circumstances – Equity and Equity related instruments including derivatives - 65% - 100%; Debt instruments (including floating rate debt instruments and securitized debt)* with maturity up to 91 days only – 0% - 35%.		
ITI Balanced Advantage Fund	An open ended dynamic asset allocation fund	The investment objective of the Scheme is to seek capital appreciation by investing in equity and equity related securities and fixed income instruments. The allocation between equity instruments and fixed income will be managed dynamically so as to provide investors with long term capital appreciation. However, there can be no assurance that the investment objective of the scheme will be realized.	The fund is designed to dynamically change its allocation across equity, cash, debt and derivatives based on the prevailing market conditions.  Asset Allocation under normal circumstances: Equity and Equity related securities including derivatives – 65% - 100%; Money market instruments (including cash and reverse repo) and debt instruments with residual maturity up to 3 years – 0% - 35%; Units issued by REITs and InvITs - 0% - 10%.	191.45	7,482
ITI Large Cap Fund	An open ended equity scheme predominantly investing in large cap stocks	The investment objective of the Scheme is to generate capital appreciation by predominantly investing in equity and equity related securities of large cap companies. However, there can be no assurance that the investment objective of the scheme would be achieved.	The Scheme shall follow a predominantly large cap strategy with a minimum exposure of 80% to Large-Cap stocks.  Asset Allocation under normal circumstances – Equity and Equity related instruments of large cap companies - 80% - 100%; Equity and Equity related instruments of other than large cap companies: 0% - 20%; debt & money market instruments: 0% - 20%; Units issued by REITs and InvITs: 0% - 10%.	190.53	10,499
ITI Mid Cap Fund	An open ended equity scheme predominantly investing	The investment objective of the Scheme is to generate capital appreciation by predominantly investing in equity and equity related securities of mid cap	The Scheme shall follow a predominantly large cap strategy with a minimum exposure of 65% to Mid-Cap stocks.	254.24	17,376

Scheme Name	Type	Investment Objective	Differentiation	AUM (Rs in crore) as on April 30, 2021	No. of folios as on April 30, 2021
	in mid cap stocks	companies. However, there can be no assurance that the investment objective of the scheme would be achieved.	Asset Allocation under normal circumstances – Equity and Equity related instruments of mid cap companies - 65% - 100%; Equity and Equity related instruments of other than mid cap companies: 0% - 20%; debt & money market instruments: 0% - 20%; Units issued by REITs and InvITs: 0% - 10%.		

## INSTRUCTIONS TO UNIT HOLDERS FOR FILLING UP THE APPLICATION FORM

### I General Instruction

Please read the Key Information Memorandum/Scheme Information Document of the Scheme and Statement of Additional Information and addendum issued from time to time carefully before investing in the Scheme. Unit holders are requested to read and acquaint themselves about the prevailing Load structure on the date of submitting the Application Form.

Upon signing and submitting the Application Form and tendering payment it will be deemed that the unit holders have accepted, agreed to and shall comply with the terms and conditions detailed in the Scheme Documents. Applications complete in all respects, may be submitted at the Official Points of Acceptance of ITI Mutual Fund.

The Application Form should be completed in ENGLISH and in BLOCK LETTERS only. Please tick in the appropriate box for relevant options wherever applicable. Do not overwrite. For any correction/changes if made in the Application Form, the Applicant(s) shall enter the correct details pursuant to cancellation of incorrect details and authenticate the corrected details by counter-signing against the changes. The Application Form number/Folio number should be written by the unit holders on the reverse of the cheques or bank draft accompanying the Application Form. Applications incomplete in any respect are liable to be rejected. ITI Asset Management Limited/ITI Trustee Company Private Limited have absolute discretion to reject such Application Forms.

### II Application Information

1. Name should be given in full without any abbreviations. Preferably write exactly as it appears in your PAN or as it appears in the incorporation document as the case may be.
2. Name, Date of birth of the Minor, Name of Parent/Legal Guardian and relationship with minor is mandatory for investment on behalf of Minor applicant.
3. Name of the contact person, email and telephone no. should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FII and other non-individual applicants.
4. The signature should be in English or in any of the Indian languages. Thumb Impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Application by minor should be signed by the guardian. In case of HUF, the Karta should sign on behalf of the HUF.
5. The designated Investor Service Center/Collection Center will affix time stamp/manual stamp and return the acknowledgement slip from the application form, to acknowledge receipt of the Application. No separate receipt will be issued for the application money.
6. Please fill in all the fields to prevent rejection of your Application Form. Please refer to the checklist provided herein to ensure that the necessary details and attachments are made available. The application complete in all respects along with the cheque/fund transfer instructions must be submitted to the nearest designated Investor Service Center/Collection Center. Applications which are incomplete, invalid in any respect or not accompanied by cheque or fund transfer instructions for the amount payable are liable to be rejected.
7. Unit holders must write the Application Form number/Folio number on the reverse of the cheques accompanying the Application Form.
8. **Direct Application:** Unit holders subscribing under Direct Plan of the scheme will have to indicate "Direct Plan" against the scheme name in the application. Unit holders should also indicate "Direct" in the ARN column of the application form. However, in case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the scheme name, the application will be processed under Direct Plan. Further, where application is received for Existing Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan.
9. **Employee Unique Identification Number (EUIIN):** SEBI has made it compulsory for every employee/relationship manager/sales person of the distributor of Mutual Fund products to quote the EUIIN obtained by him/her from AMFI in the Application Form. EUIIN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing Mutual Fund products are also required to obtain and quote EUIIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIIN is correctly filled up in the Application Form.  
  
However, if your distributor has not given you any advice pertaining to the investment, the EUIIN box may be left blank. In this case, you are required to provide a duly signed declaration to this effect. Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column separately provided in addition to the current practice of affixing the internal code issued by the main ARN holder and the EUIIN of the Sales Person (if any) in the EUIIN space.
10. In case of NRI investment, complete postal address should be stated. P.O. Box address alone is not sufficient. NRIs/ FIIs should necessarily state their overseas address failing which application may be rejected. In addition, Indian address should be stated for correspondence.  
  
The physical application form(s) for transactions (in non-demat mode) from such U.S. person will be accepted only at the official points of acceptance of transactions of the Fund in India. Additionally, such transactions in physical application form(s) will also be accepted through Distributors of the AMC and other platforms in India, subject to receipt of such additional documents/undertakings, etc., as may be stipulated by the AMC/Trustee from time to time.
11. Investment through constituted Attorney should necessarily be signed by the constituted Power of Attorney holder.
12. The minor shall only be the sole unit holder in a folio. Joint holding is not allowed. Details of the natural parent viz., father or mother or court appointed legal Guardian must be mentioned for investments made on behalf of a minor. Please fill the parent's name in case of first applicant and date of birth of all unit holders.
13. As per AMFI Circular No. 135/BP/77/2018-19, please provide email id and Mobile Number of the Primary Unit Holder of the Folio. In cases where the email address/mobile No. is not provided in the application form, the email address/mobile no. of the first applicant as per the KYC data will be taken as the email address/mobile No. The email address of one unit holder should not be allowed/updated against folios of other/multiple unit

holders, unless a specific written request is received in this regard, duly signed by the unit holders in such folios belong to the same family (applicable in respect of individual unit holders only).

'Family' for this purpose shall mean self, spouse, dependent children, dependent parents as specified in SEBI Circular No. CIR/MIRSD/15/2011 dated Aug 02, 2011, addressed to all Stock Exchanges.

14. In case, if the application has more than one unit holder and the mode of holding is not specified in the application form, the default option for holding would be considered to be "Joint". However, in all such cases, communications, proceeds of all Income Distribution cum Capital Withdrawal/redemption will be paid to the first named holder.
15. In case an unit holder opts to hold the Units in demat form, the applicant(s) details mentioned in Section 9, should be the same as appearing in demat account held with a Depository Participant.
16. In accordance with SEBI Circular No. CIR/MIRSD/13/2013 dated December 26, 2013, the additional details viz. Occupation details, Gross Annual Income/networth and Politically Exposed Person (PEP)\* status mentioned under section 4 which was forming part of uniform KYC form will now be captured in the application form of the Fund. Also, the detail of nature of services viz. Foreign Exchange/Gaming/Money Lending, etc., (applicable for first/sole applicant) is required to be provided as part of Client Due Diligence (CDD) Process of the Fund.

The said details are mandatory for both Individual and Non Individual applicants.

\*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

### III FATCA & CRS Details

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our unit holders. In relevant cases, information will have to be reported to tax authorities/appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the folio(s) or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information. If you have any questions about your tax residency, please contact your tax advisor. Further if you are a Citizen or resident or green card holder or tax resident other than India, please include all such countries in the tax resident country information field along with your Tax Identification Number or any other relevant reference ID/Number. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form. The Fund/AMC reserves the right to reject any application or compulsorily redeem the units held directly or beneficially in case the applicant/unit holder fails to furnish the relevant information and/or documentation or is found to be holding units in contravention of the FATCA provisions.

### IV Implementation of Aadhaar & PAN Requirements

It is mandatory for all unit holders to quote their Permanent Account Number (PAN) (except MICRO SIP Investments) and submit certified copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment, while making an application for Purchase of Units. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of minor, PAN details of the Guardian must be submitted.

As per the amendments to the Prevention of Money Laundering (Maintenance of Records) Rules, 2005 dated 1st June 2017, all unit holders including Joint Holders, Guardian and Power of Attorney Holders are required to submit their Aadhaar number or proof of Aadhaar application issued by the Unique Identification Authority of India and Permanent Account Number (PAN) to us. Non-individual unit holders have to submit the Aadhaar and PAN of the authorized signatory/ies.

With effect from January 1, 2018 - PAN is mandatory, without which the account will not be opened.

Unit holders residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission; However sufficient documentary evidence shall have to be submitted for verifying that they are residents of the State of Sikkim. Applications without the aforesaid details are liable to be rejected without any reference to the unit holders.

#### For NRIs/Residents of Jammu & Kashmir, Assam and Meghalaya States

1. If PAN is not submitted, following documents to be submitted
2. Any one Officially Valid Document (OVD) containing name, identity and address details
3. Recent Photograph
4. Any other document including in respect of the nature of business and financial status of the client as may be required by the reporting entity

#### Officially Valid Documents (OVD)

1. Passport
2. Driving Licence
3. Voter's Identity Card
4. NREGA Job Card duly signed by an officer of State Government
5. Letter issued by National Population Register containing details name, address
6. Any other document as notified by Central Government in consultation with Regulator

### V Bank Account Details

It is mandatory to attach cancelled original cheque/self certified copy of blank cheque/self certified Bank Statement/first page of the Bank Pass book (bearing account number and first unit holder name on the face of the cheque/Bank Pass Book/Bank Statement) is required as an incremental additional document in case of:

1. Registration of the unit holder's Bank Mandate at the time of investment
2. Subsequent change in the unit holder's Bank Mandate.

SEBI Regulations have made it mandatory for unit holders to mention the Bank Name & address of branch and bank Account Number in their Investment application form in order to protect the interest of unit holders from fraudulent encashment of cheques. For registering multiple bank account please fill separate Form for Registering/Adding Multiple Bank Accounts.

Individuals/HUF can register upto 5 bank accounts and Non Individuals upto 10 bank accounts. For further information please refer SAI.

## VI Investment Details

Unit holders should indicate the Plan/Option for which the application is made. In case unit holders wish to opt for both the Options, separate Application form will have to be filled. In case applications are received where option/sub-option for investment is not selected the default option/Sub option as prescribed in KIM will be applicable.

If the scheme name on the application form and on the payment instrument is different, the application will be processed and units will be allotted as per the Scheme name mentioned in the application/transaction slip duly signed by unit holder(s).

### 1. Systematic Investment Plan (SIP):

Unit holders are given an additional facility of Systematic Investment Plan (SIP) in the Scheme(s) of ITI Mutual Fund. Thus, by investing a fixed amount at regular interval, Unit holders can take advantage of the benefits of Rupee Cost Averaging; such facility will be treated as Subscription along with the applicable NAV/load, if any. Unit holder can enroll themselves for SIP by submitting the enrolment form alongwith the relevant documents like debit instructions, the first cheque to start SIP and a cancelled cheque or a copy of cheque in case of NACH mandate as the case may be, at any of our ISCs. Unit holders should note that AMC may take initial transaction processing time upto 30 days. Unit holder will have right to discontinue the SIP at any time, if they so desire.

#### Facility of National Automated Clearing House (NACH) Platform in Systematic Investment Plan (SIP):

NACH/Direct Debits/Standing Instructions mode of payments will be available for investments in SIP, NACH is an electronic payment facility launched by National Payments Corporation of India (NPCI) with an aim to consolidate multiple Electronic Clearing System (ECS) mandates. Unit holders can avail NACH facility by duly filling up and submitting the SIP Enrolment cum NACH Mandate Form. The NACH facility shall be available subject to the terms and conditions contained in the Mandate Form and other guidelines as prescribed by NPCI from time to time.

### 2. Investment through MICRO SIP.

The unit holder will have the facility of Micro SIP under the current Systematic Investment Plan. The Minimum Investment amount per installment will be as per applicable minimum investment amount of the respective Scheme. The total investment under Micro SIP cannot exceed Rs.50,000/-. The minimum redemption amount will be as per applicable minimum redemption amount of the respective scheme.

In line with SEBI letter no. OW/16541/2012 dated July 24, 2012, addressed to AMFI, Investments in the mutual fund schemes [including investments through Systematic Investment Plans (SIP)] up to Rs.50,000/- per unit holder per year shall be exempted from the requirement of PAN. However, requirements of Know Your Customer (KYC) shall be mandatory. Accordingly, unit holders seeking the above exemption for PAN still need to submit the KYC Acknowledgement, irrespective of the amount of investment. This exemption will be available only to Micro investment made by the individuals being Indian citizens (including NRIs, Joint holders, minors acting through guardian and sole proprietary firms). PIOs, HUFs, QFIs and other categories of unit holders will not be eligible for this exemption.

The AMC/Trustee reserve the right to change/modify the terms and conditions under the SIP prospectively at a future date.

## VII Mode of Payment

1. Payment may be made by cheque or bank draft drawn on any bank, which is a member of the Bankers' Clearing House and is located at the place where the application is submitted.

No money orders, post-dated cheques [except through Systematic Investment Plan (SIP)] and postal orders will be accepted. Bank charges for outstation demand drafts will be borne by the AMC and will be limited to the bank charges stipulated by the State Bank of India.

Outstation Demand Draft has been defined as a demand draft issued by a bank where there is no ISC available for unit holders.

The AMC will not accept any request for refund of demand draft charges

2. Payment through Stock invest, outstation cheques and cash will not be accepted
3. As per AMFI best practice guidelines on 'Risk mitigation process against third party cheques in mutual fund subscriptions', ITI Mutual Fund shall not accept applications for subscriptions with third party payment instruments. For further information please refer SAI.
4. The cheque should be drawn in favor of "ITI VALUE FUND" and should be crossed 'Account Payee Only'.
5. Returned cheques will not be presented again for collection and the accompanying application will be rejected.
6. Single cheque for investments in multiple schemes and multiple cheques for investments in single scheme will not be accepted.
7. In case of investment through electronic mode (NEFT/RTGS/Transfer letter), you are requested to contact the nearest AMC/Kfin Tech ISCs for the Bank Account Number to which the purchase/additional purchase amount is to be credited.

### 8. NRI/FII's:

**Repatriation basis:** - Payments by NRIs/FIIs may be made by way of cheques drawn on non-resident external accounts payable at par and payable at the cities where the Investor Service Centers are located.

**Non-Repatriation basis:-** NRIs investing on a non repatriable basis may do so by issuing cheques drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Investor Service Centers are located.

9. In case of payment through electronic mode (NEFT/RTGS or Transfer Letter), need to provide the bank acknowledgement copy along with purchase application.

### 10. Third Party Payments

- a) Third party payments (i.e where payment is made from a source other than that of the first holder) will not be accepted by the Fund, except if made under the following exceptional categories, namely i) as gift by parents/grandparents/related persons in favour of minor, not exceeding Rs.50,000/-, ii) employer on behalf of employee as payroll deductions or deductions out of expense reimbursements for SIP/ Lumpsum investments, iii) Custodian on behalf of FPI/client and iv) Payment by Asset Management Company (AMC) to a Distributor empanelled with it on account of commission/incentive etc. in the form of the Mutual Fund Units of the Funds managed by the AMC through Systematic Investment Plans or

Lumpsum Investment (w.e.f. January 16, 2012). v) Payment by a Corporate to its Agent/Distributor/Dealer, on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through Systematic Investment Plan or Lumpsum Investment (w.e.f. April 20, 2015). In such cases, KYC acknowledgement along with additional declarations will have to be submitted along with the application form, failing which the application will be rejected. Such declaration to be submitted in original & in the prescribed standard format and unique across each lumpsum investment.

- b) In case of payment from a joint bank account, first holder in the folio has to be one of the joint holders of the bank account from which the payment is made. Hence, joint holders may pre-register their bank accounts (single/multiple) with the AMC/RTA, by completing the Multiple Bank Account Registration Form, if they intend to make payment on behalf of other joint holder(s) in the folio. In such cases the application will be accepted and not treated as a third party payment.
- c) Where the payment instrument does not mention the bank account holders name/s, unit holder should attach bank pass book copy/bank statement/bank letter to substantiate that the first unit holder is one of the joint holders of the bank account. Where a payment is through a pre-funded instrument, a bank certification of the bank account no. and account holders name should be attached, in the required format. Pre-funded instrument issued against cash shall not be accepted for investments of Rs.50,000/- or more.  
  
For RTGS/NEFT/online bank transfer etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application.
- d) The AMC reserves the right to reject the application, post acceptance of the same, if any of the requisite documents/declarations are unavailable or incomplete, in which case the AMC shall refund the subscription money. No interest will be payable on the subscription money refunded. Refund orders will be marked "A/c. payee only" and will be in favour of and be dispatched to the Sole/First Applicant, by courier/speed post/registered post.

#### **VIII Payment of Redemption/Income Distribution cum Capital Withdrawal**

Unit holders are requested to provide the following details along with the mandatory requirement of bank account details (bank, branch address, account type and account no.) in the application form for electronic fund transfer (EFT) of Income Distribution cum Capital Withdrawal/redemption amount to the unit holders bank account. AMC will automatically extend this facility to all unit holders in case the bank account as communicated by the unit holder is with any of the bank providing EFT facility.

- A. The 11 digit IFSC (Indian Financial System Code)
- B. The 9 digit MICR (Magnetic Ink Character Recognition) number appearing next to the cheque number in the cheque leaf (Please attach copy of the cancelled cheque for verification)

Based on the above information AMC will enable secure transfer of your redemption and Income Distribution cum Capital Withdrawal payouts via the various electronic mode of transfers (RTGS/NEFT/Direct Credit mode that are available in

the banking system).

"If the remittance is delayed or not affected for reasons of incomplete or incorrect information, AMC cannot be held responsible". For validation of IFSC/MICR code, unit holder to attach the cancelled cheque/copy of cheque (PSU banks account holders to provide the front page of pass book along with cheque copy). If these documents are not provided the AMC will not be responsible consequent delay in receipt of payment. Fund is also not responsible for bankers delay.

#### **IX Purchase/Redemption of Units through Stock Exchange Infrastructure**

Unit holders can purchase and redeem units of the on Mutual Fund Services System (MFSS), NMF II of the National Stock Exchange of India Ltd. (NSE) and on the BSE StAR MF System of Bombay Stock Exchange Ltd. (BSE). Please refer Scheme Information Document(s) of the Scheme(s) for further details.

#### **X Additional Instructions for Applications Supported by Blocked Amount (ASBA)**

ITI Mutual Fund extends ASBA facility to the unit holders subscribing for the Units under this New Fund Offer ("NFO") in addition to its existing mode of subscriptions, subject to the same being extended by all the concerned intermediaries involved in the ASBA process. For availing this facility, unit holders are requested to check with the Designated Branches ("DBs") of the Self Certified Syndicate Banks ("SCSBs"). For the complete list of SCSBs with details of controlling/designated branches please refer to websites: <http://www.sebi.gov.in>, <http://www.nseindia.com> and <http://www.bseindia.com>.

Unit holders shall use the ASBA Application Form bearing the stamp of the Syndicate Members and/or the DBs of SCSB, as the case may be, for the purpose of making an application for Subscription of Units of Plan(s) under the Scheme. Unit holders are required to submit their applications, either in physical or electronic mode. In case of application in physical mode, the unit holder shall submit the application at the DBs of the SCSB. In case of application in electronic form, the unit holder shall submit the application either through the internet banking facility available with the SCSB, or such other electronically enabled mechanism for blocking funds in the ASBA account held with SCSB, and accordingly registering such Applications. On submission of the application, Unit holders are deemed to have authorised (i) the SCSB to do all acts as are necessary to make the application including, blocking or unblocking of funds in the bank account maintained with the SCSB specified in the application, transfer of funds to the Bank Account of the Scheme/ITI Mutual Fund on receipt of instructions from the Registrar and Transfer Agent after the allotment is made; and (ii) the Registrar and Transfer Agent to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the application ("ASBA Account"), upon rejection of the application/winding up of the Scheme, as the case may be.

Applications completed in all respects, must be submitted at the SCSBs with whom the bank account is maintained.

In case the ASBA application form is erroneously submitted at any of the Official Points of Acceptance of the Fund, the same shall be rejected.

The SCSB shall block amount equivalent to the application amount mentioned in the Form, after verifying that sufficient funds are available in the bank account ("ASBA Account") till the date of allotment of Units or upon rejection of the application/winding up of the Scheme, as the case may be.

No request for withdrawal of ASBA application form will be allowed after the closure of New Fund Offer Period.

#### **Grounds for rejection of ASBA applications**

ASBA application forms can be rejected by the AMC/Registrar/SCSBs, on the following technical grounds:

1. Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane persons etc.
2. Mode of ASBA i.e. either Physical ASBA or Electronic ASBA, not selected or ticked.
3. ASBA Application Form without the stamp of the SCSB.
4. Application by any person outside India if not in compliance with applicable Foreign and Indian laws.
5. Bank account details not given/incorrect details given.
6. Duly certified Power of Attorney, if applicable, not submitted along with the ASBA Application Form.
7. No corresponding records available with the Depositories matching the parameters namely (a) Names of the ASBA applicants (including the order of names of joint holders) (b) DP ID (c) Beneficiary account number or any other relevant details pertaining to the Depository Account.
8. Insufficient funds in the unit holder's account.
9. Application accepted by SCSB and not uploaded on/with the Exchange/Registrar.

#### **XI Prevention of Money Laundering and Know Your Customer (KYC)**

According to SEBI Guidelines under 'The Prevention of Money Laundering Act, 2002', Mutual Funds are required to follow enhanced know your customer (KYC) norms. Further, SEBI has also notified SEBI (KYC Registration Agency) Regulations, 2011 on December 23, 2011 with a view to bring uniformity in KYC requirements for the securities market and to develop a mechanism for centralization of the KYC records. Accordingly the following procedures shall apply:

1. SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries viz. Mutual Funds, Portfolio Managers, Depository Participants, Stock Brokers, Venture Capital Funds, Collective Investment Schemes, etc. New unit holders are therefore requested to use the common KYC Application Form and carry out the KYC process including IPV with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are also available on our website [www.itiamc.com](http://www.itiamc.com)
2. The Fund shall perform the initial KYC of its new unit holders and may undertake enhanced KYC measures commensurate with the risk profile of its unit holders in line with the aforementioned circulars/circulars issued by SEBI in this regard from time to time. The Fund shall upload the details of the unit holders on the system of the KYC Registration Agency ("KRA"). The Registrar & Transfer Agent of the Fund viz. KFin Technologies Private Limited may also undertake the KYC of the unit holders on behalf of the Fund. On receipt of the KYC documents from the Fund, the KRA shall send a letter to the unit holder within SEBI stipulated timelines, confirming the details thereof.
3. Once the unit holder has done KYC with a SEBI registered intermediary, the unit holder need not undergo the same process again with another intermediary but can submit the letter/acknowledgment issued by the KRA.
4. It is mandatory for intermediaries including mutual funds to carry out IPV of its new unit holders. The IPV carried out by any SEBI registered intermediary can be relied upon by the Fund. ITI Asset Management Limited and NISM/AMFI certified distributors who are Know Your Distributor (KYD) compliant are authorized to undertake the IPV for mutual fund unit holders. Further, in case of any applications received directly (i.e. without being routed through the

distributors) from the unit holders, the Fund may rely upon the IPV (on the KYC Application Form) performed by the scheduled commercial banks.

5. Existing KYC compliant unit holders of the Fund can continue to invest as per the current practice. However, existing unit holders are also urged to comply with the new KYC requirements including IPV as mandated by SEBI.
6. Application Form not accompanied by KYC Application Form or letter/acknowledgment issued by KRA may be rejected by the Fund. The KYC compliance status will be validated with the records of the KRA. AMC reserves the right to call for any additional information from the unit holders/applicant/reject applications/subsequent application in order to fulfil the requirements of PMLA norms prescribed by SEBI/PMLA Regulation from time to time.

#### **Implementation of Central KYC (CKYC)**

The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC records in digital form.

Accordingly, in line with SEBI circular nos. CIR/MIRSD/66/2016 dated July 21, 2016 and CIR/MIRSD/120/2016 dated November 10, 2016 on Operationalisation of Central KYC (CKYC), read with AMFI Best Practice Guidelines circular no. 68/2016-17 dated December 22, 2016, new individual unit holders investing into the Fund are requested to note the following changes, from February 1, 2017.

1. New individual unit holders who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system, will be required to fill the new CKYC form while investing with the Fund.
2. If any new individual unit holder uses the old KRA KYC form which does not have all the information needed for registration with CKYC, such unit holder will be required to either fill the new CKYC form or provide the missing/additional information using the Supplementary CKYC form.

Unit holders who have already completed CKYC and have a KYC Identification Number (KIN) from the CKYC Registry can invest in schemes of the Fund quoting their 14 digit KIN in the application form. Further, in case the unit holder's PAN is not updated in CKYC system, a self-certified copy of PAN Card will need to be provided.

#### **XII Ultimate Beneficial Owner (UBO)**

Pursuant to SEBI master circular vide ref. no. CIR/ISD/AML/3/2010 dated December 31, 2010 on Anti Money Laundering Standards and guidelines on Identification of Beneficial Ownership issued by SEBI vide its circular ref. no. CIR/MIRSD/2/2013 dated January 24, 2013, unit holders (other than Individuals) are required to provide details of Ultimate Beneficial Owner(s) ("UBO(s)") and submit proofs to identify the UBO, i.e., identity and address proof.

Ultimate Beneficial Owner (UBO) is the natural person, who ultimately owns or controls, directly or indirectly your organisation. Controlling ownership interest has been defined as ownership of/entitlement to:

1. more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
2. more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership or;

3. more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals;
4. In case of Trust, beneficial owners of the trust needs to be known by determining the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership;
5. In case the unit holder is a listed company or a subsidiary where the majority is held by a listed company, then the details of shareholders or beneficial owners is not required;
6. The identification of beneficial ownership in case of Foreign Portfolio Investors (FPIs), their sub-accounts and Multilateral Funding Agencies/Bodies Corporate incorporated outside India with the permission of Government of India/Reserve Bank of India may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012.

**Unit holders (other than Individuals & Listed companies) shall be mandatorily required to submit the following additional documents along with the declaration, to the Fund at the time of an investment transaction. Additionally, unit holders shall be required to notify the fund, when there is a change in the beneficial ownership:**

- Copy of the latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the Company Secretary/Whole time director/MD.
- Documents confirming identity and address of the UBOs of the entity.

Unit holders are requested to note that, the fund shall reserve the right to seek additional information to ascertain the beneficial or controlling ownership in the entity investing with the fund. Applications without the information are subject to rejection/refund.

### **XIII Transaction Charge in respect of Applications Routed through Distributors/Brokers**

In terms of SEBI circular no. CIR/IMD/DF/13/2011 dated August 22, 2011, as amended from time to time, Transaction Charge per subscription of Rs.10,000/- and above shall be charged to the unit holders w.e.f. November 1, 2011 and paid to the distributors/brokers (who have opted in for transaction charges) in respect of applications relating to new subscriptions only (lumpsum and SIP), subject to the following:

1. For existing mutual fund unit holders: Rs.100/- per subscription of Rs.10,000/- and above;
2. For the first time mutual fund unit holders: Rs.150/- per subscription of Rs.10,000/- and above;
3. In case of SIPs, transaction charge shall be applicable only if the total commitment through SIP amounts to Rs.10,000/- and above. In such cases the transaction charge would be recovered in 4 installments, starting from the 2nd to 5th instalment;
4. There shall be no transaction charge on subscription of below Rs.10,000/-;
5. There shall be no transaction charge on transactions other than purchases/subscriptions relating to new inflows;
6. There shall be no transaction charge on direct investments;
7. There shall be no transaction charge on subscriptions carried out through the Stock Exchange Platform.

In accordance with SEBI circular no. CIR/IMD/DF/21/2012 dated September 13, 2012, distributors shall also have an option either to opt in or opt out of levying transaction charge based on type of the product. The Transaction Charge as mentioned above shall be deducted by the AMC from the subscription amount of the unit holder and paid to the distributor and the balance shall be invested.

### **XIV Nomination Details**

Applicants applying for Units singly/jointly can make a nomination at the time of initial investment or during subsequent investments.

1. The nomination can be made only by individuals applying for/holding units on their own singly or jointly. Non-individuals including society, trust (other than a religious or charitable trust), body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. W.e.f. April 1, 2011, nomination is not allowed in a folio held on behalf of a minor. All holders will have to sign request for nomination or cancellation of nomination, even if the mode of holding is not joint. Nomination cannot be signed by Power of Attorney (PoA) holders.
2. A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the Unit Holder. Nomination can also be made in favor of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
3. A Non-Resident Indian can be a Nominee subject to the exchange control regulations in force, from time to time.
4. Nomination in respect of the units stands rescinded upon the redemption/transfer/transmission of units.
5. Transmission of units in favour of a Nominee shall be a valid discharge by the Asset Management Company (AMC) against the legal heir.
6. The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the AMC/Fund/Trustees shall not be under any obligation to transmit the units in favour of the Nominee.
7. Nomination shall be maintained at the folio/account level and shall be applicable for all schemes in the folio/account.
8. A Nominee cannot be a resident of USA/Canada.

### **XV Declaration and Signatures**

1. Signature should be in black or blue ink only.
2. Signatures should be in English or in any Indian language. Thumb impressions and Signatures in languages not specified in the Eight Schedule of the Constitution of India should be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal. In case of HUF, the Karta will sign on behalf of the HUF.
3. Applications on behalf of minors should be signed by their Guardian.

ITI Mutual Fund/AMC, reserves the right to reject any application inter alia in the absence of fulfilment of regulatory requirements, fulfilment of requirements of the SID, SAI and furnishing necessary information to the satisfaction of the Mutual Fund/AMC.

# ITI VALUE FUND

(An open ended equity scheme following a value investment strategy)

**Sponsors:** The Investment Trust of India Limited and Fortune Credit Capital Limited  
**Trustee Company:** ITI Mutual Fund Trustee Private Limited

## APPLICATION FORM

Please read Key Information Memorandum, Product Labeling and Instructions before filling this Application Form

**Investment Manager:** ITI Asset Management Limited  
 Naman Midtown, 'A' Wing, 21st Floor, Senapati Bapat Marg  
 Elphinstone Road, Mumbai 400 013 CIN : U67100MH2008PLC177677



All sections should be Filled in English and in BLOCK LETTERS only.

Application No.

DISTRIBUTOR INFORMATION					FOR OFFICE USE ONLY	
Distributor Name & Code	Sub-Distributor Code	Internal Code for Sub-Broker/Employee	EUIN*	RIA Code	Registrar/ Bank Serial No.	Date and Time of Receipt
ARN-	ARN-					

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

**EUIN Declaration:** I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

**RIA Declaration:** I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above mentioned SEBI-Registered Investment Adviser/RIA.

First/Sole Applicant/Guardian/POA Holder	Second Applicant/Guardian/POA Holder	Third Applicant/Guardian/POA Holder
------------------------------------------	--------------------------------------	-------------------------------------

**TRANSACTION CHARGES for ₹ 10,000/- and above (✓ any one) :** ☐ I am a first time investor across Mutual Funds OR ☐ I am an existing investor in Mutual Funds  
 In case the subscription amount is ₹ 10,000/- or more and your distributor has opted to receive transaction charges, ₹ 150/- (for first time mutual fund investor) or ₹ 100/- (for investor other than first time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested.

**Make your selection before filling the form.** (Please ✓) : ☐ Invest Now ☐ Zero Balance Folio

**1. EXISTING INVESTOR/EXISTING ZERO BALANCE FOLIO NO.** (If you have existing folio, please fill in Section 1 and proceed to Section 6)

Folio No.  Name of First Applicant

The details in our records under the Folio number mentioned above will apply for this application.

**2. MODE OF HOLDING (please ✓)** ☐ Single ☐ Anyone or Survivor ☐ Joint\*\* (\*\*Default, in case of more than one applicant and not ticked)

### 3. APPLICANT'S INFORMATION

**I. First/Sole Applicant** ☐ Mr. ☐ Ms. ☐ M/s. ☐ Minor ☐ Individual ☐ Non Individual (Mandatorily fill separate FATCA/CRS & UBO form)

**Non-Individual investors please fill Ultimate Beneficial Ownership (UBO) Declaration Form and submit with Application Form**

Name

Date of Birth\*/Incorporation  Nationality  PAN/PEKRN#

Aadhaar Card No.  KIN#  ☐ Proof Attach

Legal Entity Identifier (LEI) Code (Mandatory for Non Individual only)  Validity till

**Name of Guardian** (in case of First/Sole Applicant is a Minor)/**Name of Contact Person** (in case of Non-Individual Investors only)

☐ Mr. ☐ Ms. Name

Aadhaar Card No.  PAN/PEKRN#  KIN#  ☐ Proof Attach

Nationality  Designation  Contact No.

**Relationship with Minor (Mandatory)** ☐ Father ☐ Mother ☐ Court Appointed Legal Guardian\*\* ☐ Proof of relationship attached

**For Investment "on behalf of Minor"** ☐ Birth Certificate ☐ School Certificate ☐ Passport ☐ Other

**Correspondence Address**

City  State  Pin Code

**Contact Details** Country Code  STD Code  Tel.

Mobile No.  Email ID

Investors providing Email id would mandatorily receive E-Statement of Accounts in lieu of Physical Statement of Accounts and the Annual Report or Abridged Summary on email. Please register your mobile number and email id with us to get instant transactions alerts via SMS and Email.

Please tick, if you wish to receive scheme wise Annual Report or Abridged Summary through physical mode. ☐

**Overseas Address for NRIs/PIOs/FIIs (Mandatory)**

City  Country  Zip Code

### TAX STATUS (Applicable for First / Sole Applicant)

☐ Resident Individual ☐ FIIs ☐ NRI-NRO ☐ HUF ☐ Club / Society ☐ PIO ☐ Body Corporate ☐ Minor ☐ Government Body ☐ Trust ☐ NRI - NRE ☐ Bank & FI  
☐ Sole Proprietor ☐ Partnership Firm ☐ QFI ☐ Provident Fund ☐ Others

**II. Name of Second Applicant** Mr./Ms.

Date of Birth  Nationality  PAN/PEKRN#

Aadhaar Card No.  KIN#  ☐ Proof Attach

**III. Name of Third Applicant** Mr./Ms.

Date of Birth  Nationality  PAN/PEKRN#

Aadhaar Card No.  KIN#  ☐ Proof Attach

\* Mandatory Fields; ‡ W.e.f. February 1, 2017, New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new CKYC form while investing with the Fund; ++ In case of Legal Guardian, please submit attested copy of the court appointment letter, affidavit etc. to support.

...continued overleaf



### Acknowledgement Slip (To be filled in by the Applicant)

Application No.

Received from: Mr./Ms./M/s.   
 (subject to realization, verification and conditions)

Scheme	ITI Value Fund	Plan	Option
Cheque/DD No.		Dated	Drawn on Bank
Account No.		Amount (₹)	Branch

ISC Stamp, Date & Signature

**Toll Free Number:**  
1800-266-9603

**Non Toll Free Number:**  
022-66214999

**Email:**  
mfassist@itiorg.com

**Website:**  
www.itiamc.com

Additional KYC Details									
<b>MANDATORY</b>	<b>Occupation Details for</b>	<b>1<sup>st</sup> Applicant</b>	<b>2<sup>nd</sup> Applicant</b>	<b>3<sup>rd</sup> Applicant</b>	<b>Guardian</b>	<b>Politically Exposed Person (PEP) details:</b>	<b>Is a PEP</b>	<b>Related to PEP</b>	<b>Not Applicable</b>
	Private Sector Service	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	1 <sup>st</sup> Applicant	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
	Public Sector Service	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	2 <sup>nd</sup> Applicant	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
	Government Service	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	3 <sup>rd</sup> Applicant	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
	Business	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Guardian	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
	Professional	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Authorised Signatories	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
	Agriculturist	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Promoters	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
	Retired	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Partners	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
	Housewife	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Karta	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
	Student	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Whole-time Directors	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Proprietorship	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Trustee	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Others (Please specify)	_____	_____	_____	_____					
<b>Non-Individual Investors involved / providing any of the mentioned services</b> <input type="checkbox"/> Foreign Exchange/Money Changer Services <input type="checkbox"/> Gaming / Gambling / Lottery / Casino Services <input type="checkbox"/> Money Lending / Pawning <input type="checkbox"/> None of the above									
<b>Gross Annual Income Range (₹)</b>	<b>1<sup>st</sup> Applicant</b>	<b>2<sup>nd</sup> Applicant</b>	<b>3<sup>rd</sup> Applicant</b>	<b>Guardian</b>	<b>Gross Annual Income Range (₹)</b>	<b>1<sup>st</sup> Applicant</b>	<b>2<sup>nd</sup> Applicant</b>	<b>3<sup>rd</sup> Applicant</b>	<b>Guardian</b>
Below 1 lac	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	10 - 25 lac	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
1 - 5 lac	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	25 lac - 1 cr	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
5- 10 lac	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	> 1 cr	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>OR Network in (₹) (Mandatory for Non-Individuals not older than 1 year)</b> _____ as on <span style="float:right;">  D   D   M   M   Y   Y   Y   Y  </span>									

PoA Name  Mr./Ms./M/s.   
 Aadhaar Card No.  PAN/PEKRN#  KIN†   
 Enclosed ☐ PAN card proof ☐ KYC Confirmation proof  
 PoA copy notarised or the original copy of PoA needs to be submitted in case of Investment through PoA.

**FATCA and CRS Certification for Individual Investors [Mandatory for all investors including NRI, Guardian (in case of Minor), Joint Holder(s) and POA Holder]**  
Non Individual investors, including HUF should mandatorily fill separate FATCA/CRS form.

QUICK CHECKLIST		
<input type="radio"/> KYC acknowledgement letter attached	<input type="radio"/> Self attested PAN and Aadhaar card copy attached	<input type="radio"/> Email id and mobile number provided (for online transaction facility)
<input type="radio"/> Plan/Option/Sub Option mentioned	<input type="radio"/> FATCA & CRS Certification for Non-Individual unit holders attached	<input type="radio"/> UBO Declaration for Non-Individual unit holders attached
<input type="radio"/> Additional documents for Third Party payments attached	<input type="radio"/> Multiple Bank Accounts Registration form attached (if you want to register multiple bank accounts)	<input type="radio"/> Relationship proof between Guardian and Minor attached (if application is in the name of a Minor)

**6. BANK ACCOUNT DETAILS (For Redemption/Income Distribution cum Capital Withdrawal if any).**  
(Mandatory to attach proof, in case the payout bank account is different from the bank account)

Bank A/c. No.		A/c. Type	<input type="radio"/> Savings <input type="radio"/> Current <input type="radio"/> NRE <input type="radio"/> NRO <input type="radio"/> FCNR
Bank Name			
Branch Name		City	
MICR Code		IFSC Code	

9 digit code appears on your Cheque next to your Cheque No. 11 character code appearing on your Cheque leaf

**7. INVESTMENT DETAILS: Scheme/Plan/Option (Refer instruction VI)**

Scheme **ITI Value Fund** Plan (Please ☒) Regular ☐ Direct

Option ☐ Growth ☐ IDCW# Reinvest ☐ IDCW# Payout

Default Option will be Growth in case option not selected or in case of any ambiguity.

# Income Distribution cum Capital Withdrawal

**8. PAYMENT DETAILS: Please issue separate Cheque/DD favouring the Scheme Name (Refer Instruction VII, IX & X)**

Payment Type (Please ☒) Self ☐ Third Party Payment (Please fill the 'Third Party Payment Declaration Form')

**8A. LUMP SUM**

Mode ☐ Cheque ☐ DD ☐ RTGS/NEFT ☐ Fund Transfer ☐ Cheque/DD/UTR/Ref. No. Dated DDMMYY

Amount (figures) ₹ (words)

Pay-in A/c no. Account type ☐ Savings ☐ NRO ☐ NRE ☐ Current ☐ FCNR ☐ Others

Drawn on bank/branch name & address

MICR Code (9 Digit) IFSC Code (11 Digit)

**8B. SIP DETAILS**

Enrolment Period: From Date MMYY To Date MMYY OR Perpetual (99 years) (Default) ☐

First SIP Instalment via: Cheque No. Drawn on Bank and Branch

Amount: ₹ A/c. No.

Each SIP Amount: ₹ Amount in Words

Frequency: (Please ☒)

<input type="radio"/> Daily (SIP)	<input type="radio"/> Weekly (SIP)	<input type="radio"/> Monthly (SIP)
All Business Days	<input type="radio"/> 7th, <input type="radio"/> 14th, <input type="radio"/> 21st, <input type="radio"/> 28th of any month	Date: DD Preferred Debit Date (Any day from 1st to 28th of the month.)

I/We hereby authorize ITI Mutual Fund and their authorized service providers to debit my/our following bank account by NACH clearing for collection of SIP payments.

**Note:** Please allow 1 month for NACH Mandate to register and start.

Mandatory Enclosure (for existing investors if 1st SIP installment is not by cheque) ☐ Blank cancelled cheque ☐ Copy of cheque

For SIP through NACH please also fill & attach SIP Registration cum Debit mandate form.

SIP through Post Dated Cheques [Use CTS (Cheques Truncation System) Cheques only]

Period MMYY TO MMYY No. of cheques attached

The first cheque & the Post dated cheques should be drawn on the same bank & account number

**9. UNIT HOLDING OPTION ☐ Demat Mode\* ☐ Physical Mode (Default)**

\*Demat Account details are mandatory if the investor wishes to hold the units in Demat Mode.

NSDL DP Name DP ID IN Beneficiary Account No.

CDSL DP Name Beneficiary Account No.

\*Investor opting to hold units in Demat Form, may provide a copy of the DP statement enable us to match Demat details as stated in the Application Form.

**10. NOMINATION DETAILS (Mandatory)**

☐ I/We hereby nominate the under mentioned nominee to receive the amounts to my/our credit in event of my/our death. I/We also understand that all payments and settlements made to such Nominee shall be a valid discharge by the AMC/Mutual Fund/Trustee Company.

Name and Address of Nominee(s)	Relationship with Applicant	(To be furnished in case Nominee is a Minor)		Signature of Nominee (Optional)/Guardian of Nominee (Mandatory)	Proportion (%) in which the units will be shared by each Nominee†
		Date of Birth	Name and Address of Guardian		
Nominee 1					
Nominee 2					
Nominee 3					

† the aggregate total should be 100%.

OR

Please ☒ I/We do not wish to Nominate

**11. DECLARATION & SIGNATURES (Please refer to the Instruction No. XV)**

Having read and understood the contents of the Scheme Information Document of the Scheme and Statement of Additional Information and subsequent amendments thereto including the section on who cannot invest, "Prevention of Money Laundering" and "Know Your Customer", I / We hereby apply to the Trustee of ITI Mutual fund for units of the Scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We further declare, I am / we are authorised to invest the amount & that the amount invested by me / us in the above mentioned Scheme is derived through legitimate sources and is not held or designed for the purpose of contravention of any acts, rules, regulations or any statute or legislation or any other applicable laws or notifications, directions issued by the governmental or statutory authority from time to time. I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any Regulation, including SEBI. It is expressly understood that I / We have the express authority from our constitutional documents to invest in the units of the Scheme(s) and the AMC / Trustee / Fund would not be responsible if the investment is ultra vires thereto and the investment is contrary to the relevant constitutional documents. I / We agree that in case my / our investment in the Scheme(s) is equal to or more than 25% of the corpus of the Scheme, then ITI Asset Management Ltd., Investment Manager to the ITI Mutual Fund, has full right to refund the excess to me / us to bring my / our investment below 25%. I / We have not received nor been induced by any rebate or gifts, directly or indirectly in making this investments. I / We hereby authorise ITI Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s) / ITI Mutual Fund's bank(s) and / or Distributor / Broker / Investment Advisor. I / We hereby authorize you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me / us, including all changes, update to such information as and when provided by me / us to ITI Mutual Fund / ITI Asset Management Limited to any Indian or foreign governmental or statutory or judicial authorities / agencies, the tax / revenue authority and other investigation agencies without obligation on advising me / us of the same. I / We authorise ITI Mutual Fund to reject the application, reverse the units credited / redeem units created at applicable NAV, restrain me / us from making any further investment in any of the Schemes of the fund, recover / debit my / our folios(s) with the penal interest and take any appropriate action against me / us in case the cheque(s) / payment instrument is / are returned by my / our banker for any reason whatsoever.

I / We undertake that these investments are my / our own and acknowledge that AMC reserves the right to call for such other additional information / documents as required to comply with PMLA / KYC / FATCA norms. I / We hereby, further agree that the Fund can directly credit all the Income Distribution cum Capital Withdrawal payouts and redemption amount to my bank details given above. I / We hereby confirm that I / We have not been offered / communicated any indicative portfolio and / or any indicative yield by the Fund / AMC / its distributor for this investment. I / We hereby declare that the particulars stated above are correct.

The ARN holder has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. I / We further agree that the Fund / AMC can send us all types of SMS relating to the products offered by them.

Applicable to unit holders who have not opted for nomination facility. I / We hereby confirm that it is my / our informed decision not to avail the nomination facility offered by ITI Mutual Fund.

I / We confirm that I am / We are not resident(s) of United States under the laws of United States or resident(s) of Canada. In case of change to this status, I / We shall notify the AMC, in which event the AMC reserves the right to redeem my / our investments in the Scheme(s).

I / We, have invested in the Scheme(s) of your Mutual Fund under Direct Plan. I / We hereby give you my / our consent to share / provide the transactions data feed / portfolio holdings / NAV etc. in respect of my / our investments under Direct Plan of all Schemes Managed by you, to the above mentioned Mutual Fund Distributor / SEBI-Registered Investment Adviser.

I hereby authorize the representatives of ITI Asset Management Limited and its Associates to contact me through any mode of communication. This will override registry on DND / DNDC, as the case may be.

**Applicable to NRI only:** I / We confirm that I am / We are Non Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels from funds in my / our Non-Resident External / Ordinary Account / FCNR Account. Please (✓) (Including amount of Additional Purchase Transaction made in future)

☐ Repatriation ☐ Non-Repatriation

SIGNATURE(S)			
Date	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>		
Place	<input type="text"/>	Sole/First Applicant/Guardian/ PoA/Authorised Signatory	Second Applicant/PoA
			Third Applicant/PoA

For Detailed Instructions on Filling the Application Form please refer to Page No. 11 - 16

**CHECKLIST FOR DOCUMENTATION**

Please submit the following documents with your application (where applicable).

Documents	Individuals	NRIs	Minors	Companies/ Body Corporates	Trusts	Societies	HUF	Partnership Firms	FPIs	LLP/ Flls*	Investments through Constituted Attorney
1. Certificate of Incorporation/Registration				✓	✓			✓	✓	✓	
2. Resolution/Authorisation to invest				✓	✓	✓		✓	✓	✓	
3. List of Authorised Signatories with Specimen Signature(s)@				✓	✓	✓		✓	✓	✓	✓
4. Memorandum & Articles of Association				✓							
5. Trust Deed					✓					✓	
6. Bye-Laws						✓					
7. Partnership Deed/Deed of Declaration							✓	✓			
8. Notarised Power of Attorney											✓
9. Proof of PAN (including for guardian)	✓#	✓	✓#	✓	✓	✓	✓	✓	✓	✓	✓
10. Proof of KYC/CKYC - KIN number	✓#	✓	✓#	✓	✓	✓	✓	✓	✓	✓	✓
11. Proof of Aadhaar Card	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
12. Overseas Auditor's Certificate (applicable for DTAA)		✓							✓		
13. Foreign Inward Remittance Certificate		✓							✓		
14. Date of Birth Certificate or School Living Certificate or Passport of Minor			✓								
15. Document evidencing relationship with Guardian			✓								
16. Declaration for Identification of Beneficial ownership				✓	✓	✓		✓	✓	✓	
17. FATCA/CRS	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	

All documents for entities above should be originals/true copies certified by the Director/Trustee/Company Secretary/Authorised Signatory/Notary Public.

@ Should be original or true copy certified by the Director/Trustee/Company Secretary/Authorised Signatory/Notary Public, as applicable.

\* For Flls, copy of SEBI registration certificate should be provided.

# If PAN/PEKRN/KYC proof of Minor is not available, PAN/PEKRN/KYC proof of Guardian should be provided.



This is to confirm that the declaration has been carefully read, understood and made by me/us. I am authorizing the User entity/ Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / corporate of the bank where I have authorized the debit.

## TERMS AND CONDITIONS

1. Please refer SID for minimum SIP investment amount under each Scheme.
2. Please refer the Key Information Memorandum (KIM) and Scheme Information Document (SID) of the respective Scheme for applicable NAV, risk factors, load (exit/entry) and other information on the respective Scheme before investing.
3. Complete Application form and SIP Enrollment Form along with the first cheque should be submitted to the AMC/KFin Tech ISCS.
4. Investors should mandatorily give a cheque for the first Installment. The first cheque should be drawn on the same bank account which is to be registered for NACH. Alternatively, the cheque may be drawn on any bank, for which investor should provide a photocopy of the cheque or cancelled cheque of the bank/branch for which NACH is to be registered.
5. First SIP cheque and subsequent SIP installments via NACH should be of the same amount.
6. If any cheque day falls on a non business day, the next business day will be considered as the transaction date.
7. Incorrect/Incomplete applications are liable to be rejected.
8. ITI Asset Management Ltd. reserves the right to reject any application without assigning any reason thereof and the Trustee reserves the right to change/modify the terms and conditions of SIP.
9. NACH instructions will take a minimum of one month for registration with the bank and hence the first debit will be carried out only after one month, on the SIP date mentioned on the form. The AMC reserves the right to modify the SIP period depending on the one month period for registration to ensure minimum number of installments as mentioned in SID.
10. The mandate registration form will be submitted through National Automated Clearing House (NACH) and Banks participating in Direct Debit Facility. This facility is offered to investors having Bank accounts in select banks mentioned in the link under Product and Services tab The Banks <http://www.npci.org.in/> in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of National Payments Corporation of India without assigning any reasons or prior notice. Standing instructions for investors in such Banks will be discontinued. We will inform you on such discontinuation.
11. In case investors bank account is available under NACH Facility; registration will be done through NACH platform.
12. Existing Unit holders in Scheme(s) of ITI Mutual Fund are required to submit only the SIP NACH Mandate. Existing unit holders should note that the unit holder's details & the mode of holding will be as per the existing account. New investors, who wish to enroll for SIP through NACH, should fill the Common Application Form & SIP NACH Mandate.
13. Initial cheque should be drawn on any bank, which is situated at & is a member of the Banker's Clearing House located at the place where the SIP application is submitted or payable at par & should participate in local MICR clearing. Please contact the nearest designated Investor Service Centre for the updated list. For outstation applications, the initial DD has to be payable at the nearest AMC locations. No outstation cheques will be accepted. The cheque should be drawn in favor of the Scheme chosen (e.g. Pay \*\*\*\*\* [Scheme name] & crossed "A/c Payee Only".
14. Payments will be accepted by NACH mode. For this purpose, investors/unit holders are required to give NACH Mandate Form to debit their bank accounts at periodic intervals & credit the subscription proceeds to ITI Mutual Fund Bank Account.
15. Returned/Dishonored cheque/NACH Rejects will not be presented again for collection. If the 1st installment cheque is dishonored, the SIP processing/registration will be rejected.
16. The SIP Enrollment will be discontinued in cases where three consecutive SIP installments are not honored or the bank account is closed and no request for change in bank account has been submitted.
17. If investor has not provided the SIP frequency/period/date, the default SIP frequency would be Monthly & the SIP installments would be the minimum number of installments of the respective Schemes as specified in the SID. The default date will be considered as 7th of the month for Monthly option.
18. If an Existing investor wants to enroll in another Scheme & continue for the existing folio, then the investor has to submit a duly filled & signed SIP NACH Mandate along with the first cheque (if investment is in the new Scheme).
19. You can choose to discontinue this facility by giving 30 Calendar days written notice to any of AMC/Registrar Investor Service centres.
20. Request for change in bank mandate to be submitted atleast 30 Calendar days before the due date of next SIP installment.
21. The bank account provided for NACH (Debit) should be in the list of banks participating in NACH.
22. MICR code or IFSC code should be mandatory filled on NACH mandate, MICR code starting and/or ending with 000 are not valid for NACH.
23. The investor agrees to abide by the terms and conditions of NACH facility of NPCI as applicable at the time of investment and as may be modified from time to time.
24. The investor undertakes to keep sufficient funds in the account till the date of execution of the debit. The investor hereby declares that the particulars given overleaf are correct and complete. If the date of debit to the investors account happens to be a non Business day as per the fund, execution of the debit will not happen on the day of the holiday and allotment of Units will happen as per the terms and conditions listed in the concerned SID. The Fund, its Registrars, Auto Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riots, strike, mutiny, revolution, fire, flood, fog, war, change of government policies, unavailability of banks computer system, force majeure events or any other cause of peril which is beyond their reasonable control and which has the effect of preventing the performance of contract by them.
25. Investors will not hold ITI Asset Management Ltd., its registrars, banks and other service providers responsible if the transaction is delayed or not effected or the investor's bank account is debited in advance or after the specific SIP date due to the local holidays or any other reason.
26. ITI Asset Management Ltd. reserves the right to reject any application without assigning any reason thereof.
27. Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 10 business days via normal post.
28. To avail of SIP in separate Schemes via NACH facility, an investor will have to fill a separate form for each Scheme. A single form cannot be used for different Schemes simultaneously.
29. As per Prevention of Money Laundering Act 2002, it is mandatory for all investors to be KYC compliant. For more details please refer point on "Instructions to Investor" for Filling up the Application Form.
30. **Employee Unique Identification Number (EUIDN):**  
SEBI has made it compulsory for every employee/relationship manager/sales person of the distributor of Mutual Fund products to quote the EUIDN obtained by him/her from AMFI in the Application Form. EUIDN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing.

Mutual Fund products are also required to obtain and quote EUIDN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIDN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUIDN box may be left blank. In this case, you are required to provide a duly signed declaration to this effect. Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column separately provided in addition to the current practice of affixing the internal code issued by the main ARN holder and the EUIDN of the Sales Person (if any) in the EUIDN space.

### 31. SIP Top-Up:

An investor can select this facility to increase the amount of the SIP installment by a fixed amount at pre-defined intervals during the tenure of the SIP. The features, terms and conditions for availing 'Top-Up' facility are as follows:

1. This facility shall be available under all the Schemes where SIP facility is being offered.
2. SIP Top-up will be allowed in case of Micro Investments subject to the condition that total investments including SIP Top-up by the investor does not exceed 50,000/- in a rolling 12 months period or in a financial year i.e. April to March i.e. the limit on Micro Investments.
3. The minimum Top-up amount is Rs. 500/- and in multiples of Rs. 500/- thereafter.
4. If the investor does not specify the Top-up amount, the default amount for Top-up will be considered as Rs. 500/-, and the application form shall be processed accordingly.
5. SIP Top-Up facility can be availed at half yearly and yearly intervals. In case the Top-Up frequency is not specified, Default will be considered as yearly frequency.
6. SIP top-up facility is currently available only for SIP registration and installment payments made directly with the fund and through modes like NACH/ECS/Auto Debit mode.
7. SIP Top up facility is currently not available for SIP registration made through (i) Post-dated cheques (PDCs), (ii) Channel Partners, (iii) Exchanges and (iv) ISIPs.
8. Top-Up facility would be available to all existing and new SIP enrolments. Existing investors who have enrolled for SIP are also eligible to avail Top-Up facility and will be required to submit 'Systematic Investment Plan (SIP) with Top-up Facility' at least 30 calendar days prior to the Top-Up start month. In case the request is not received at least 30 days prior to the SIP date, the Top-up will be applicable from the next effective SIP installment.
9. Once enrolled, in case the Investor wants to modify the Top-up details, the investor must cancel the existing SIP Top-up and enroll for a new SIP Top-up with the desired Top-up details.
10. SIP Top-up facility can be started after minimum 6 months from the date of 1st SIP for both New and Existing SIP Investors. If the end-date of the Top-up facility is not mentioned the Top-up facility will be continued till the tenure of the SIP. For example, if the SIP is registered till 2099, and the end date of the Top-up facility is not mentioned; then the Top-up will continue till 2099.
11. In case, the SIP Top up is cancelled, the SIP will be ceased.
12. SIP Top up facility can be availed by Existing Investors who have already registered any SIP with the fund, after a gap of 6 months from the date of submission of such Top Up application request and after the subsequent cycle date SIP has been processed. For example if for an Existing SIP, the First SIP date is 15th of each Month from Jan 2019; and the Top-Up application request is submitted on 22nd Feb, 2019. The Next SIP date will be 15th of March, 2019; therefore the Top Up will start after 6 Months from 15th of September, 2019.
13. All other terms & conditions applicable for regular SIP Facility will also be applicable to Top-up Facility.

**An Illustration: The Top-Up facility will work as follows:**

Details of SIP registered	Details of Top-up opted for
<ul style="list-style-type: none"> <li>Fixed SIP Installment amount: ₹ 5,000/-</li> <li>SIP Period: 01-April-2019 till 31-March-2022 (3 years)</li> <li>SIP Date: 1st of every month (36 installments)</li> </ul>	<ul style="list-style-type: none"> <li>Example:</li> <li>Top-Up Amount: ₹ 1,000/-</li> <li>Top-Up Frequency: Every 6 months</li> </ul>

Based on above details, SIP Installments shall be as follows:

Installment No(s).	SIP Installment (in ₹) (A)	Top-up amount (in ₹) (B)	Monthly SIP Installment amount after Top-up (in ₹) (A+B)
1 to 6	5000	NA	5000
7 to 12	5000	1000	6000
13 to 18	6000	1000	7000
19 to 24	7000	1000	8000
25 to 30	8000	1000	9000
31 to 36	9000	1000	10000* +(At-least amount to be filled on NACH Mandate)

The Trustee/AMC reserves the right to change/ modify the terms and conditions of the "Top up Facility" at a later date on a prospective basis.

### 32. Terms & Conditions - Goal Based SIP.

- SIP application can have only one Goal assigned. Investors will be required to submit separate application forms for each Goal.
- Units will not be automatically redeemed upon achievement of Goal and the SIP shall continue till the term of the SIP, even after the crossing of the Goal Amount. Further, Units will be redeemed as per First In First Out basis for any redemption applied for.
- Goal Amount & type of Goal are mandatory for each Goal SIP Application. Default option will be Retirement Planning where no Goal is selected. If no Goal amount is mentioned on the SIP application in section 3, then the SIP will be registered as a regular SIP & not as Goal SIP.
- Goal SIP facility is currently not available for SIP registered/submitted through Post-dated cheques (PDCs), OR through Mutual Fund Utility (MFU), OR through MFSS and NMF II system of NSE or BSE Star MF platform of BSE or any other platforms of these stock exchanges or Channel partners or ITI online SIP (I-SIP). As & when relevant systems are put in place, this facility will be automatically offered on respective platforms.
- Investors may kindly note that the status of Goal SIP cannot be changed once registered. Investors will have a choice to discontinue the Goal SIP, with a prior notice of 30 days.
- All other conditions generally applicable for SIP shall also be applicable for Goal SIP.

## INSTRUCTIONS TO FILL THE NACH DEBIT MANDATE FORM

1. Mobile Number and Email Id: Unit holder(s) should mandatorily provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones already existing in the folio, the details provided on the mandate will be updated in the folio. All future communication whatsoever would be, thereafter, sent to the updated mobile number and email id.
2. Unit holder(s) need to provide along with the mandate form an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unit holder(s) cheque/bank account details are subject to third party verification.
3. Investors are deemed to have read and understood the terms and conditions of NACH Facility, SIP registration through NACH facility, the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addendum issued from time to time of the respective Scheme(s) of ITI Mutual Fund.
4. Date and the validity of the mandate should be mentioned in DD/MM/YYYY format
5. Please mention the amount in figures and words.
6. Please fill all the required details in the Debit Mandate Form for NACH. The sole/first holder must be one of the holders in the bank account.
7. The UMRN, the Sponsor Bank Code and the Utility Code are meant for office use only and need not be filled by the investors.
8. The 9 digit MICR and the 11 digit IFSC are mandatory requirements without which your SIP applications will be rejected. You should find these codes on your cheque leaf.

**Toll Free Number:**  
1800-266-9603

**Non Toll Free Number:**  
022-66214999

**Email:**  
mfassist@itiorg.com

**Website:**  
www.itiampc.com

**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**

Please read instructions before filling this form

**Investment Manager:** ITI Asset Management Limited  
Naman Midtown, 'A' Wing, 21st Floor, Senapati Bapat Marg  
Prabhadevi, Mumbai 400 013 CIN: U67100MH2008PLC177677



Application No.

DISTRIBUTOR INFORMATION					FOR OFFICE USE ONLY	
Distributor Name & Code	Sub-Distributor Code	Internal Code for Sub-Broker/Employee	EUIN*	RIA Code	Registrar/ Bank Serial No.	Date and Time of Receipt
ARN-	ARN-					

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

**EUIN Declaration:** I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

**RIA Declaration:** I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you. to the above mentioned SEBI-Registered Investment Adviser/RIA.

**1. EXISTING UNIT HOLDER INFORMATION** (The details in our records under the folio number mentioned will apply for this application.)

[illegible]

Name of 1st/Sole Unit Holder |

## 2. ADDITIONAL PURCHASE

**KYC Compliance status:** Please ☒ 1st Applicant ☐ 2nd Applicant ☐ 3rd Applicant

**Scheme:** ITI **Plan:** ☐ Regular ☐ Direct

**Option:** ☐ Growth (Default) ☐ IDCW# Payout ☐ IDCW# Reinvest **IDCW# Frequency:** ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Annually

\*(Default Option will be Growth in case option not selected or in case of any ambiguity.) Default Frequency will be Daily, in case frequency not selected or in case of any ambiguity. (IDCW# Frequencies of Daily and Weekly are not applicable for IDCW# Payout).

**Payment Type:** Please (✓) ☒ Non-Third Party Payment ☐ Third Party Payment (Please attach 'Third Party Payment Declaration Form')

[illegible]

Cheque / DD / UTR No. & Date	Amount of Cheque / DD / RTGS / NEFT in figures (₹)	Net Purchase Amount	Drawn on Bank / Branch	Pay-In Bank A/c No. (For Cheque Only)

**TRANSACTION CHARGES:** In case, the additional purchase amount is ₹ 10,000 or above and distributor has opted to receive transaction charges, ₹ 100/- will be deducted from the Purchase amount and paid to the distributor. Units shall be allotted for the balance amount only.

# Income Distribution cum Capital Withdrawal

**2a. DEMAT ACCOUNT DETAILS – Mandatory for units in Demat Mode. Please ensure that the sequence of names as mentioned as given in folio, matches as per the Depository Details.**

[illegible]

**Enclosures:** Please (✓) ☐ Client Masters List (CML) ☐ Transaction cum Holding Statement ☐ Delivery Instruction Slip (DIS)

### 3. SWITCH REQUEST - I WISH TO SWITCH UNITS / AMOUNT AS UNDER CONSIDERING ABOVE THE PURCHASE

From Scheme : ☒ ITI ☐ Other ☐ Plan: ☐ Regular ☐ Direct

**Option:** ☐ Growth (Default) ☐ IDCW# Payout ☐ IDCW# Reinvest **IDCW# Frequency:** ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Annually

**Amount (in figures) (₹):** | | | | | | | | | | **Or Units (in figures):** | | | | | | | | | | **Or All Units** ☐

**Amount (in words) (₹):**

**To Scheme:** ITI VALUE FUND **Plan:** ☐ Regular ☐ Direct **Option:** ☐ Growth (Default) ☐ IDCW# Payout ☐ IDCW# Reinvest

# Income Distribution cum Capital Withdrawal

**ACKNOWLEDGEMENT SLIP** (To be filled in by the Unit holder)

Received Application from Mr. / Ms. / M/s. \_\_\_\_\_ as per details below:

Scheme Name and Plan : **ITI VALUE FUND** (AUTO SWITCH OUT APPLICATION FORM)**Payment Details:**

Amount (Rs) : | | | | | | | | | | | |

Cheque / DD No.:                      Dated

Bank & Branch : \_\_\_\_\_

Date &amp; Stamp of Collection Centre / ISC

#### 4. DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(S)

Having read and understood the contents of the Scheme Information Document of the Scheme and Statement of Additional Information and subsequent amendments thereto including the section on who cannot invest, "Prevention of Money Laundering" and "Know Your Customer", I/ We hereby apply to the Trustee of ITI Mutual fund for units of the Scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/ We further declare, I am / we are authorised to invest the amount & that the amount invested by me / us in the above mentioned Scheme is derived through legitimate sources and is not held or designed for the purpose of contravention of any acts, rules, regulations or any statute or legislation or any other applicable laws or notifications, directions issued by the governmental or statutory authority from time to time. I/ We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any Regulation, including SEBI. It is expressly understood that I/ We have the express authority from our constitutional documents to invest in the units of the Scheme(s) and the AMC / Trustee / Fund would not be responsible if the investment is ultra vires thereto and the investment is contrary to the relevant constitutional documents. I/ We agree that in case my / our investment in the Scheme(s) is equal to or more than 25% of the corpus of the Scheme, then ITI Asset Management Ltd., Investment Manager to the ITI Mutual Fund, has full right to refund the excess to me / us to bring my / our investment below 25%. I/ We have not received nor been induced by any rebate or gifts, directly or indirectly in making these investments. I/ We hereby authorise ITI Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s) / ITI Mutual Fund's bank(s) and / or Distributor / Broker / Investment Advisor. I/ We hereby authorize you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me / us, including all changes, update to such information as and when provided by me / us to ITI Mutual Fund / ITI Asset Management Limited to any Indian or foreign governmental or statutory or judicial authorities / agencies, the tax / revenue authority and other investigation agencies without obligation on advising me / us of the same. I/ We authorise ITI Mutual Fund to reject the application, reverse the units credited / redeem units created at applicable NAV, restrain me / us from making any further investment in any of the Schemes of the fund, recover / debit my / our folios(s) with the penal interest and take any appropriate action against me / us in case the cheque(s) / payment instrument is / are returned by my / our banker for any reason whatsoever.

I/ We undertake that these investments are my / our own and acknowledge that AMC reserves the right to call for such other additional information / documents as required to comply with PMLA / KYC / FATCA norms. I/ We hereby, further agree that the Fund can directly credit all the Income Distribution cum Capital Withdrawal payouts and redemption amount to my bank details given above. I/ We hereby confirm that I/ We have not been offered / communicated any indicative portfolio and / or any indicative yield by the Fund / AMC / its distributor for this investment. I/ We hereby declare that the particulars stated above are correct.

The ARN holder has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. I/ We further agree that the Fund / AMC can send us all types of SMS relating to the products offered by them.




Applicable to unit holders who have not opted for nomination facility. I/ We hereby confirm that it is my / our informed decision not to avail the nomination facility offered by ITI Mutual Fund.

I/ We confirm that I am / We are not resident(s) of United States under the laws of United States or resident(s) of Canada. In case of change to this status, I/ We shall notify the AMC, in which event the AMC reserves the right to redeem my / our investments in the Scheme(s).

I/ We, have invested in the Scheme(s) of your Mutual Fund under Direct Plan. I/ We hereby give my / our consent to share / provide the transactions data feed / portfolio holdings / NAV etc. in respect of my / our investments under Direct Plan of all Schemes Managed by you, to the above mentioned Mutual Fund Distributor / SEBI-Registered Investment Adviser.

I hereby authorize the representatives of ITI Asset Management Limited and its Associates to contact me through any mode of communication. This will override registry on DND / DNDC, as the case may be.

**Applicable to NRI only:** I/ We confirm that I am / We are Non Resident of Indian Nationality / Origin and I/ We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels from funds in my / our Non-Resident External / Ordinary Account / FCNR Account. Please (✓) (Including amount of Additional Purchase Transaction made in future) ☐ Repatriation ☐ Non-Repatriation

SIGNATURE(S)			
Date <input type="text" value="DDMMYYYY"/>			
Place <input type="text"/>	Sole/First Applicant/Guardian/ PoA/Authorised Signatory	Second Applicant/PoA	Third Applicant/PoA

#### INSTRUCTIONS

- Auto Switch facility is a Special facility available to the existing investors having investments in Specified Schemes of ITI Mutual Fund only during the New Fund Offering (NFO) period whereby investors can switch their units from such Specified Schemes at the specified date during the NFO Period.
- Unit holders are advised to read the Statement of Additional Information (SAI), Scheme Information Document (SID) and Key Information Memorandum (KIM) of the Scheme which is available at all the Designated Investor Service Centers (ISCs), Brokers / Distributors and on our website [www.itiamc.com](http://www.itiamc.com) carefully before investing.
- This Auto Switch Form can be used only by Existing Unit holders having investments in specified schemes of ITI Mutual Fund to switch their units. ITI Mutual Fund reserves the right to extend or limit the said facility on such terms and conditions as may be decided from time to time. For eligible/specified transferor scheme please refer to SID.
- Existing unit holders having investments in schemes other than specified schemes and wish to switch their investments have to fill up Switch Section of the Application Form.
- The application for Auto Switch will be processed on the closing day of the NFO.
- All valid Auto Switch request would be treated as switch out / redemption for the Transferor Scheme.
- The units from the Specified Transferor Scheme will be switched, subject to provisions mentioned in the Scheme Information Document of the Transferor Scheme. The units in the Transferee Scheme will be allotted at the NFO Price of the Scheme on the Specified Schemes allotment date.
- Unit holder are required to maintain clear balance in accordance with amount specified in the Auto Switch Application Form on the execution date. In case of insufficient balance in the account / folio, the application for Auto Switch will be rejected.
- Unit holders should note that Unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. Units will be allotted under the same folio number.
- The Unit holders are given an Option to hold the units by way of an Account Statement or in Dematerialized ('Demat') form. Unit holders opting to hold the units in Demat form must provide their Demat Account details in the specified section of the application form. The Unit holder intending to hold the units in Demat form are required to have a beneficiary account with the DP (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the application the DP's name, DP ID Number and the Beneficiary account number of the applicant with the DP. In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to Demat form.
- For Direct Investments, please mention "Direct" in the column "Name & Broker Code / ARN".
- This facility will not be available for units which are under any Lien/Pledged or any lock-in period.
- The application is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrar.
- ITI Mutual Fund reserves the right to withdraw this amend or withdraw this facility or change the procedures from time to time.
- Investors are requested to clearly mention the Plan and the Option in which investment is to be made. In case of any ambiguity, the application will be liable to be rejected. In the absence of clear indication as to the choice of Option (Growth or Income Distribution cum Capital Withdrawal Payout), by default, the units will be allotted under the Growth Option of the Plan.
- Investors subscribing under Direct Plan of the ITI Value Fund will have to indicate the Scheme / Plan name in the application form as "ITI VALUE FUND - Direct Plan". Investors should also indicate "Direct" in the ARN column. In case ARN code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, ARN code will be ignored and the application will be processed under Direct Plan. If the investor does not mention Direct against the scheme name and the ARN code is also not provided the default allotment would be made in the Direct Plan.
- Employee Unique Identification Number (EUIIN) would assist in tackling the problem of mis-selling even if the employee / relationship manager / sales person leave the employment of the distributor.

**Mutual Fund investments are subject to market risks, read all Scheme related Documents carefully.**

<b>Toll Free Number:</b> 1800-266-9603	<b>Non Toll Free Number:</b> 022-66214999	<b>Email:</b> <a href="mailto:mfassist@itiorg.com">mfassist@itiorg.com</a>	<b>Website:</b> <a href="http://www.itiamc.com">www.itiamc.com</a>
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# SUPPLEMENTARY KNOW YOUR CLIENT (KYC), FATCA, CRS & ULTIMATE BENEFICIAL OWNERSHIP (UBO) SELF CERTIFICATION FORM FOR NON-INDIVIDUALS

(Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

<b>Name of the entity</b>																											
<b>Type of address given at KRA</b>	<input type="radio"/> Residential or Business <input type="radio"/> Residential <input type="radio"/> Business <input type="radio"/> Registered Office																										
<b>PAN</b>														<b>Date of Incorporation</b>													
														D   D   M   M   Y   Y   Y   Y													
<b>City of incorporation</b>																											
<b>Country of incorporation</b>																											

ADDITIONAL KYC INFORMATION	
<b>Gross Annual Income (₹) [Please tick (✓)]</b>	<input type="radio"/> Below 1 Lac <input type="radio"/> 1–5 Lacs <input type="radio"/> 5–10 Lacs <input type="radio"/> 10–25 Lacs <input type="radio"/> >25 Lacs–1 Crore <input type="radio"/> >1 Crore
<b>Net-worth</b>	₹ _____ as on D   D   M   M   Y   Y   Y   Y (Not older than 1 year)
<b>Politically Exposed Person (PEP) Status*</b> (Also applicable for authorised signatories/Promoters/Karta/Trustee/Whole time Directors)	<input type="radio"/> I am PEP <input type="radio"/> I am Related to PEP <input type="radio"/> Not Applicable
<small>*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.</small>	
<b>Non-Individual unit holders involved/providing any of the mentioned services</b>	<input type="radio"/> Foreign Exchange/Money Changer Services <input type="radio"/> Gaming/Gambling/Lottery/Casino Services <input type="radio"/> Money Lending/Pawning <input type="radio"/> None of the above

FATCA & CRS DECLARATION			
Please tick the applicable tax resident declaration -			
1. Is "Entity" a tax resident of any country other than India <input type="radio"/> Yes <input type="radio"/> No (If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)			
Sr. No.	Country	Tax Identification Number%	Identification Type (TIN or Other%, please specify)
1.			
2.			
3.			
<small>% In case Tax Identification Number is not available, kindly provide its functional equivalent.          In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.</small>			
In case the Entity's Country of Incorporation/Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here			

PART A (To be filled by Financial Institutions or Direct Reporting NFEs)			
1.	We are a, Financial institution (Refer 1 of Part C) <input type="radio"/> OR Direct reporting NFE (Refer 3(vii) of Part C) (please tick as appropriate) <input type="radio"/>	<b>GIIN</b> _____ <b>Note:</b> If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below Name of sponsoring entity _____	<input type="radio"/> <b>GIIN not available</b> (please tick as applicable) <input type="radio"/> <b>Applied for</b> <input type="radio"/> Not obtained – Non-participating FI <input type="radio"/> Not required to apply for – please specify 2 digits sub-category _____ (Refer 1 A of Part C)

PART B (Please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")	
1.	Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market) (Refer 2a of Part C) <input type="radio"/> Yes (If yes, please specify any one stock exchange on which the stock is regularly traded) Name of stock exchange _____
2.	Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) (Refer 2b of Part C) <input type="radio"/> Yes (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) Name of listed company _____ Nature of relation: <input type="radio"/> Subsidiary of the Listed Company or <input type="radio"/> Controlled by a Listed Company Name of stock exchange _____
3.	Is the Entity an active NFE (Refer 2c of Part C) <input type="radio"/> Yes Nature of Business _____ Please specify the sub-category of Active NFE _____ (Mention code – refer 2c of Part C)
4.	Is the Entity a passive NFE (Refer 3(ii) of Part C) <input type="radio"/> Yes Nature of Business _____

**Category** (Please tick applicable category): ☐ Unlisted Company ☐ Partnership Firm Limited ☐ Liability Partnership Company  
☐ Unincorporated association/body of individuals ☐ Public Charitable Trust ☐ Religious Trust ☐ Private Trust  
☐ Others (please specify \_\_\_\_\_)

Please list below the details of controlling person(s), confirming ALL countries of tax residency/permanent residency/citizenship and ALL Tax Identification Numbers for EACH controlling person(s). (Please attach additional sheets if necessary)

Owner-documented FI's should provide FI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of Part C)

Details	UB01	UB02	UB03
Name of UBO			
UBO Code (Refer 3(iv) (A) of Part C)			
Country of Tax residency*			
PAN#			
Address			
	Zip <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Zip <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Zip <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
State: _____	State: _____	State: _____	
Country: _____	Country: _____	Country: _____	
Address Type	<input type="radio"/> Residential <input type="radio"/> Business <input type="radio"/> Registered Office	<input type="radio"/> Residential <input type="radio"/> Business <input type="radio"/> Registered Office	<input type="radio"/> Residential <input type="radio"/> Business <input type="radio"/> Registered Office
Tax ID%			
Tax ID Type			
City of Birth			
Country of birth			
Occupation Type	<input type="radio"/> Service <input type="radio"/> Business <input type="radio"/> Others _____	<input type="radio"/> Service <input type="radio"/> Business <input type="radio"/> Others _____	<input type="radio"/> Service <input type="radio"/> Business <input type="radio"/> Others _____
Nationality			
Father's Name			
Gender	<input type="radio"/> Male <input type="radio"/> Female <input type="radio"/> Others	<input type="radio"/> Male <input type="radio"/> Female <input type="radio"/> Others	<input type="radio"/> Male <input type="radio"/> Female <input type="radio"/> Others
Date of Birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Percentage of Holding (%) <sup>\$</sup>			

\* To include US, where controlling person is a US citizen or green card holder  
# If UBO is KYC compliant, KYC proof to be enclosed. Else PAN or any other valid identity proof must be attached. Position/Designation like Director/Settlor of Trust/Protector of Trust to be specified wherever applicable.  
% In case Tax Identification Number is not available, kindly provide functional equivalent  
\$ Attach valid documentary proof like Shareholding pattern duly self attested by Authorized Signatory/Company Secretary

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our unit holders. In relevant cases, information will have to be reported to tax authorities/appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.




Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

I/We have read and understood the information requirements and the Terms and Conditions mentioned in this Form (read alongwith the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I/We hereby agree and confirm to inform ITI Asset Management Company Limited/ITI Mutual Fund/Trustees for any modification to this information promptly. I/We further agree to abide by the provisions of the Scheme related documents inter alia provisions on 'Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) on Automatic Exchange of Information (AEOI)'.

Name																											
Designation																											
Signature		Signature		Signature		Place																					
						Date	D	D	M	M	Y	Y	Y	Y													

**1. Financial Institution (FI)**

The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution: is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where its income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of -
  - (i) The three financial years preceding the year in which determination is made; or
  - (ii) The period during which the entity has been in existence, whichever is less.
- Investment entity is any entity:
  - ✓ That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
    - (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or
    - (ii) Individual and collective portfolio management; or
    - (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;

or
  - ✓ The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of :

  - (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
  - (ii) The period during which the entity has been in existence.

The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 (refer point 2c.)
- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

• FI not required to apply for GIIN:	
A. Reasons why FI not required to apply for GIIN:	
Code	Sub-category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors, Investment Managers & Executing Brokers
07	Exempt collective investment vehicle
08	Trustee of an Indian Trust
09	FI with a local client base
10	Non-registering local banks
11	FFI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FFI

**2. Non-financial entity (NFE) - Entity that is not a financial institution**

Types of NFEs that are regarded as excluded NFE are:

- a. Publicly traded company (listed company)
 

A company is publicly traded if its stock are regularly traded on one or more established securities markets

(Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)
- b. Related entity of a publicly traded company
 

The NFE is a related entity of an entity of which is regularly traded on an established securities market;
- c. Active NFE : (is any one of the following):

Code	Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	Any NFE that fulfils all of the following requirements: <ul style="list-style-type: none"> <li>• It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;</li> <li>• It is exempt from income tax in India;</li> <li>• It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;</li> </ul> <p>The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.</p>

Code	Sub-category
	<p>Explanation - For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:-</p> <p>(I) an Investor Protection Fund referred to in clause (23EA);</p> <p>(II) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and</p> <p>(III) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;</p>

### 3. Other Definitions

#### (i) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control. For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

#### (ii) Passive NFE

The term passive NFE means

- (1) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company;
  - or
  - (2) an investment entity defined in clause (1) of these instructions
  - (3) a withholding foreign partnership or withholding foreign trust;
- (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

#### (iii) Passive income

The term passive income includes income by way of :

- (1) Income Distribution cum Capital Withdrawal,
- (2) Interest
- (3) Income equivalent to interest,
- (4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE
- (5) Annuities
- (6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income
- (7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,
- (8) The excess of foreign currency gains over foreign currency losses
- (9) Net income from swaps
- (10) Amounts received under cash value insurance contracts

But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

#### (iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005. In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, controlling person means persons in equivalent or similar positions.

Pursuant to guidelines on identification of Beneficial Ownership issued vide SEBI circular no. CIR/MIRSD/2/2013 dated January 24, 2013, persons (other than Individuals) are required to provide details of Beneficial Owner(s) ('BO'). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of/entitlements to:

- (1) More than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
- (2) More than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or
- (3) More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

Where the client is a trust, the financial institution shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

(A) Controlling Person Type (UBO):	
UBO Code	Sub-category
01	CP of legal person-ownership
02	CP of legal person-other means
03	CP of legal person-senior managing official
04	CP of legal arrangement-trust-settlor
05	CP of legal arrangement-trust-trustee
06	CP of legal arrangement-trust-protector
07	CP of legal arrangement-trust-beneficiary
08	CP of legal arrangement-trust-other
09	CP of legal arrangement-Other-settlor equivalent
10	CP of legal arrangement-Other-trustee equivalent
11	CP of legal arrangement-Other-protector equivalent
12	CP of legal arrangement-Other-beneficiary equivalent
13	CP of legal arrangement-Other-other equivalent

#### (v) Specified U.S. person – A U.S. person other than the following:

- (1) a corporation the stock of which is regularly traded on one or more established securities markets;
- (2) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (3) the United States or any wholly owned agency or instrumentality thereof;
- (4) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (5) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (6) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (7) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (8) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (9) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (10) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (11) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- (12) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
- (13) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

#### (vi) Owner documented FI

An FI meets the following requirements:

- (a) The FI is an FI solely because it is an investment entity;
- (b) The FI is not owned by or related to any FI that is a depository institution, custodial institution, or specified insurance company;
- (c) The FI does not maintain a financial account for any non participating FI;
- (d) The FI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and
- (e) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FI that holds its interest through a participating FI, a deemed-compliant FI (other than an owner-documented FI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

#### (vii) Direct reporting NFE

A direct reporting NFE means a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.



**(MANDATORY)**

## 5. UNDERTAKING BY ASBA UNIT HOLDER/ACCOUNT HOLDER

Having read and understood the contents of the SID and SAI, I/We hereby apply under Direct/AMFI Certified empanelled distributors to the Trustees of ITI Mutual Fund for units of the Scheme/Option as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We have understood the details of the Scheme and I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We hereby authorise ITI Mutual Fund, its Investment Manager and its Agents to disclose details of my/our investment to my/our bank(s)/ITI Mutual Fund's Bank(s) and/or Distributor/Broker/Investment Advisor and to verify my/our bank details provided by me/us. I/We hereby declare that the particulars given above are correct and express my/our willingness to make payments referred above through participation in ECS/Direct Debit Facility. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold ITI Asset Management Ltd. (Investment Manager to ITI Mutual Fund), their appointed service providers or representatives responsible. I/We will also inform ITI Asset Management Ltd., about any changes in my/our bank account. We have understood that the present scheme does not offer any guarantee or assured return and that the scheme is subject to credit risk or default risk including possible loss of principal, any losses in case of a default will be borne by me/us. \*I/We confirm that I am/We are Non-Residents of Indian Nationality/Origin and that the funds are remitted from abroad through approved banking channels or from my/our NRE/NRO/FCNR Account. I/We confirm that the details provided by me/us are true and correct. I/We hereby declare that the amount being invested by me/us in the Scheme of ITI Mutual Fund is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directions issued by any governmental or statutory authority from time to time. \*Applicable to NRI I/We confirm that the ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We acknowledge that the AMC has not considered my/our tax position in particular and that I/We should seek tax advice on the specific tax implications arising out of my/our participation in the scheme. I/We confirm that I am/We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change to this status, I/We shall notify the AMC, in which event the AMC reserves the right to redeem my/our investments in the Scheme(s).

Date	D	D	M	M	Y	Y	Y	Y	Place
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1. **Meaning of ASBA:** ASBA is an application for subscribing to a New Fund Offer (NFO), containing an authorisation to block the application money in a bank account.
2. **Self Certified Syndicate Bank (SCSB):** SCSB is a banker to an issue registered with the SEBI which offers the facility of applying through the ASBA process. The list of SCSBs will be displayed by SEBI on its website at [www.sebi.gov.in](http://www.sebi.gov.in) from time to time. ASBAs can be accepted only by SCSBs, whose names appear in the list of SCSBs displayed on SEBI's website. Unit holders maintaining their accounts in any of these Banks may approach one of the designated branches of these SCSBs for availing this facility. Further it may be noted that from time to time new banks register themselves as SCSBs who become eligible to provide these services and also the existing SCSBs designate additional branches that also provide this facility. An updated list of all the registered SCSBs, their controlling branches, contact details and details of their contact persons, a list of their designated branches which are providing such services is available on the website of SEBI at the address <http://www.sebi.gov.in>. Further these details are also available on the websites of the Stock Exchanges at <http://www.bseindia.com> and <http://www.nseindia.com>. Alternatively, unit holders may also contact the AMC, R&TA for information about the SCSBs or the ASBA process. These SCSBs are deemed to have entered into an arrangement with the Issuer and shall be required to offer the ASBA facility to all its account holders for all issues to which ASBA process is applicable. An SCSB shall identify its Designated Branches (DBs) at which an ASBA Applicant shall submit ASBA and shall also identify the Controlling Branch (CB), which shall act as a coordinating branch for the Registrar to the Issue, Stock Exchanges and Merchant Bankers. The SCSB, its DBs and CB shall continue to act as such, for all issues to which ASBA process is applicable. The SCSB may identify new DBs for the purpose of ASBA process and intimate details of the same to SEBI, after which SEBI will add the DB to the list of SCSBs maintained by it. The SCSB shall communicate the following details to Stock Exchanges for making it available on their respective websites. These details shall also be made available by the SCSB on its website: (i) Name and address of the SCSB (ii) Addresses of DBs and CB and other details such as telephone number, fax number and email ids. (iii) Name and contact details of a nodal officer at a senior level from the CB.
3. **Eligibility of unit holders:** Unit holder shall be eligible to apply through ASBA process, if he/she:
  - (i) is a "Resident Retail Individual unit holder, Non Institutional unit holder, QIBs, Eligible NRIs applying on non-repatriation basis, Eligible NRIs applying on repatriation basis i.e. any unit holder,
  - (ii) is applying through blocking of funds in a bank account with the SCSB; Such unit holders are hereinafter referred as "ASBA unit holders".
4. **ASBA Facility in Brief:** Unit holder shall submit his/her Application through an ASBA Application Form, either in physical or electronic mode, to the SCSB with whom the bank account of the ASBA unit holder or bank account utilised by the ASBA unit holder ("ASBA Account") is maintained. The SCSB shall block an amount equal to the NFO application Amount in the bank account specified in the ASBA Application Form, physical or electronic, on the basis of an authorisation to this effect given by the account holder at the time of submitting the Application. The Application Amount shall remain blocked in the aforesaid ASBA Account until the Allotment in the New Fund Offer and consequent transfer of the Application Amount against the allocated Units to the Issuer's account designated for this purpose, or until withdrawal/failure of the Offer or until withdrawal/rejection of the ASBA Application, as the case may be. The ASBA data shall thereafter be uploaded by the SCSB in the electronic IPO system of the Stock Exchanges. Once the Allotment is finalised, the R&TA shall send an appropriate request to the Controlling Branch of the SCSB for unblocking the relevant bank accounts and for transferring the amount allocable to the successful ASBA Applicants to the AMC account designated for this purpose. In case of withdrawal/Rejection of the Offer, the R&TA shall notify the SCSBs to unblock the blocked amount of the ASBA Applicants within one day from the day of receipt of such notification.
5. **Obligations of the AMC:** AMC shall ensure that adequate arrangements are made by the R&TA to obtain information about all ASBAs and to treat these applications similar to non-ASBA applications while allotment of Units, as per the procedure specified in the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009.  
Unit holders are requested to check with their respective banks about the availability of the ASBA facility.
6. **Other Information for ASBA Unit holders:**
  1. On the closure date of the NFO, the ASBA form should be submitted to the SCSBs before the 3.00 p.m. or such other time as may be decided by respective SCSBs.
  2. The Applicant intending to invest in the Scheme through ASBA Process will be required to have a beneficiary account with a Depository Participant (DP) of NSDL/CDSL and will be required to mention in the application form DP ID No. and Beneficiary Account No. with the DP at the time of purchasing Units during the NFO.
  3. Signatures as available with depository will be taken for all purpose after the allotment of units incase of demat holding.
  4. All static details in our records would be taken from the demat account (DP ID) provided by you.
  5. Bank account details provided in the ASBA Application form will be used for refunding reject applications where DP ID is not matching
  6. Bank Mandate for redemptions/Income Distribution cum Capital Withdrawal will be as per your DP ID incase of demat holding.
  7. SCSB shall give ASBA unit holders an acknowledgement for the receipt of ASBAs.
  8. SCSB shall not upload any ASBA in the electronic system of the Stock Exchange(s) unless (i) it has received the ASBA in a physical or electronic form; and (ii) it has blocked the application money in the bank account specified in the ASBA or has systems to ensure that Electronic ASBAs are accepted in the system only after blocking of application money in the relevant bank account opened with it.
  9. SCSB shall ensure that complaints of ASBA unit holders arising out of errors or delay in capturing of data, blocking or unblocking of bank accounts, etc. are satisfactorily redressed.
  10. SCSB shall be liable for all its omissions and commissions in discharging responsibilities in the ASBA process.
  11. R&TA shall act as a nodal agency for redressing complaints of ASBA and non-ASBA unit holders, including providing guidance to ASBA unit holders regarding approaching the SCSB concerned.
  12. ASBA facility is currently available only to those unit holders who wish to hold the units in dematerialized form.

## THIRD PARTY PAYMENT DECLARATION FORM

This Form should be completed in **English** and in **BLOCK LETTERS** only.

(Please read the Third Party Payment Rules and Instructions carefully before completing this Form)

FOR OFFICE USE ONLY		
Date of Receipt	Folio No.	Branch Trans. No.

### 1. BENEFICIAL UNIT HOLDER INFORMATION (Refer Instruction No. VII 10)

Folio No. (For existing unit holder)		Application No.	
NAME OF FIRST/SOLE APPLICANT (BENEFICIAL UNIT HOLDER)			
Mr.	Ms.	M/s.	

### 2. THIRD PARTY INFORMATION (Refer Instruction No. VII.10)

NAME OF THIRD PARTY (PERSON MAKING THE PAYMENT)			
Mr.	Ms.	M/s.	

Nationality		Aadhaar Card No.	
PAN/PEKRN#		KIN‡	

#Mandatory for any amount. Please attach PAN Proof. ‡ Refer instruction No. XI.

☐ Proof Attach

#### NAME OF CONTACT PERSON & DESIGNATION (in case of non-Individual Third Party)

Mr.	Ms.	
Designation		

#### MAILING ADDRESS (P.O. Box Address may not be sufficient)

City	State	Pin Code

#### CONTACT DETAILS

Tel. No. (O)	(R)	Fax
Mobile No.	Email ID	

#### RELATIONSHIP OF THIRD PARTY WITH THE BENEFICIAL UNIT HOLDER (Refer Instruction No. VII.10) [Please tick (✓) as applicable]

Status of the Beneficial unit holder	<input type="radio"/> Minor	<input type="radio"/> FII <input type="radio"/> Client	<input type="radio"/> Employee(s)	<input type="radio"/> Agent/Distributor/Dealer
Relationship of Third Party with the Beneficial unit holder	<input type="radio"/> Father <input type="radio"/> Mother <input type="radio"/> Court Appointed Legal Guardian (Please attach proof of relationship, if not already submitted)	<input type="radio"/> Grand Parent <input type="radio"/> Related Person (Please specify relationship)  (Maximum investment - ₹ 50,000/- per transaction)	Custodian - SEBI Registration No. of Custodian  Registration Valid Till D D M M Y Y Y Y	Employer  Principal
Declaration by Third Party	I/We declare that the payment made on behalf of minor is in consideration of natural love and affection or as a gift.	I/We declare that the payment is made on behalf of FII/Client and the source of this payment is from funds provided to us by FII/Client.	I/We declare that the payment is made on behalf of employee(s) under Systematic Investment Plans or as lump sum/one-time subscription, through Payroll Deductions.	I/We declare that the payment is made on behalf of Agent/Distributor/Dealer under Systematic Investment Plans or as lumpsum/one-time subscription, in lieu of commission or incentive payable for sale of goods/services.

### 3. THIRD PARTY OTHER DETAILS (Mandatory)

Gross Annual Income (₹)* (Please ✓)		OR	Net worth (Mandatory for Non-Individuals) ₹	
<input type="radio"/> Below 1 Lac <input type="radio"/> 1-5 Lacs <input type="radio"/> 5-10 Lacs <input type="radio"/> 10-25 Lacs <input type="radio"/> 25 Lacs-1Crore <input type="radio"/> >1Crore			as on	D D M M Y Y Y Y (not older than 1 year)
Occupation* (Please ✓)				
<input type="radio"/> Private Sector Service <input type="radio"/> Public Sector Service <input type="radio"/> Government Service <input type="radio"/> Business <input type="radio"/> Professional <input type="radio"/> Agriculturist <input type="radio"/> Retired	<input type="radio"/> Housewife <input type="radio"/> Student <input type="radio"/> Defence <input type="radio"/> Proprietorship <input type="radio"/> Others			

**Legal Status\* (Please ✓)**

☐ Resident Individual    ☐ NRI-Repatriable    ☐ NRI-Non Repatriable    ☐ PIO/OCI    ☐ HUF    ☐ Minor (through Guardian)    ☐ LLP    ☐ FII  
☐ Sole-Proprietorship    ☐ Partnership Firm    ☐ Company    ☐ Bank    ☐ Financial Institution    ☐ Other Body Corporate    ☐ Trust    ☐ AOP/BOI  
☐ Charitable/Religious/Non-profit Organisation    ☐ Others \_\_\_\_\_

**For Individual Unit holder**

• I am Politically Exposed Person (PEP)    ☐ Yes    ☐ No  
 • I am Related to PEP    ☐ Yes    ☐ No

**Mandatory for Non-Individual Unit holder**

Is the entity involved/providing any of the following services    ☐ Yes    ☐ No  
 • For Foreign Exchange/Money Changer Services    ☐ Yes    ☐ No  
 • Gaming/Gambling/Lottery Services (e.g. casinos, betting syndicates)    ☐ Yes    ☐ No  
 • Money Lending/Pawning    ☐ Yes    ☐ No

**4. THIRD PARTY PAYMENT DETAILS (Refer Instruction No. VII.10))****Mode of Payment [Please tick (✓)]**☐ Cheque

☐ Pay Order  
☐ Demand Draft  
☐ Banker's Cheque

☐ RTGS  
☐ NEFT  
☐ Fund Transfer

**Mandatory Enclosure(s)\***

In case the account number and account holder name of the third party is not pre-printed on the cheque then a copy of the bank passbook/statement of bank account or letter from the bank certifying that the third party maintains a bank account.

Certificate from the Issuing Banker stating the Bank Account Holder's Name and Bank Account Number debited for issue of the instrument or Copy of the acknowledgement from the bank, wherein the instructions to debit carry the bank account details and name of the third party as an account holder are available or Copy of the passbook/bank statement evidencing the debit for issuance of the instrument.

Copy of the Instruction to the Bank stating the Bank Account Number which has been debited.

\* ITI Mutual Fund/ITI Asset Management Ltd. reserves the right to seek information and /or obtain such other additional documents/information from the Third Party for establishing the identity of the Third Party.

Amount in Figures (₹) \_\_\_\_\_ Amount in words \_\_\_\_\_

Instrument No. \_\_\_\_\_ Date             Pay-in Bank Account No. \_\_\_\_\_

Bank Name \_\_\_\_\_ Branch \_\_\_\_\_ City \_\_\_\_\_

Account Type (Please ✓) ☐ Current ☐ Savings ☐ NRO ☐ NRE ☐ FCNR ☐ Others \_\_\_\_\_

**5. DECLARATIONS & SIGNATURE(S)****THIRD PARTY DECLARATION**

I/We hereby confirm and declared that I/We have read and understood the Third Party Payment rules, as given below and agree to comply and be bound by the same.

The information provided is true and correct and ITI Mutual Fund ('Fund')/ITI Asset Management Ltd. ('AMC') is entitled to verify the same directly or indirectly. I/We agree to furnish such further information as Fund/AMC may require from me/us. I/We agree that if any of the declarations furnished by me/us are found to be incorrect or incomplete, the Fund/AMC shall have the absolute discretion to reject/not process the Application Form received from the Beneficial unit holder(s) and refund the subscription monies accordingly.

I/We hereby declare that the amount invested in the Scheme(s) is through legitimate sources only and is not held or designed for the purpose of contravention or evasion of any act, rules, regulations, notifications or directions issued by any regulatory authority in India. I/We shall be solely liable/responsible for any claim, loss and/or damage of whatsoever nature that the Fund/AMC may suffer as a result of accepting the aforesaid payment from me/us towards processing the transaction in favour of the Beneficial unit holder(s) as detailed in the Application Form.

**Applicable to NRIs only:**

I/We confirm that I am/We are Non-Residents of Indian Nationality /Origin and that the funds are remitted from abroad through approved banking channels or from my /our NRE /NRO /FCNR Account. I/We confirm that the details provided by me/us are true and correct.

Please (✓) ☐ Yes    ☐ No

If yes, (✓) ☐ Repatriation basis    ☐ Non-repatriation basis

Date

Signature of the Third Party

**BENEFICIAL UNIT HOLDER(S) DECLARATION**

I/We confirm having read and understood the Third Party Payment rules and instructions. I/We confirm that the information declared herein by the Third Party is true and correct and have no objection to the funds received from the Third Party. The Fund reserves the right in its sole discretion to reject/not process the Application Form and refund the payment received from the aforesaid. The Fund or the AMC will not be liable for any damages or losses or any claims of whatsoever nature arising out of any delay or failure to process this transaction.

**Applicable to Guardian receiving funds on behalf of Minor only:**

I/We confirm that /We are the guardian of the Minor registered in folio and have no objection to the funds received towards Subscription of Units in this Scheme(s) on behalf of the minor.

**SIGNATURE(S)**

Date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> Place _____	 _____ First/Sole Applicant/Guardian	 _____ Second Applicant	 _____ Third Applicant
---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	--------------------------------------------	-------------------------------	------------------------------

Toll Free Number:  
1800-266-9603

Non Toll Free Number:  
022-66214999

Email:  
mfassist@itiorg.com

Website:  
www.itiamc.com

## LIST OF OFFICIAL POINTS OF CONTACTS/ACCEPTANCE OF TRANSACTIONS

### OFFICE OF ITI ASSET MANAGEMENT LIMITED

**Assam:** 5H,5th Floor, Dihang Arcade, ABC, G S Road, Guwahati -781005. **Bihar:** 403, 4th Floor, Ashiana Hariinivas, New Dak Bunglow Road, Patna - 800 001. **Chandigarh:** SCO No. 2469-2470, 1st Floor, Sector 22 C, Chandigarh – 160022. **Gujarat:** 102, 6th Avenue, Nr. Mithakali Cross Road, Above SBI Bank, Navrangpura, Ahmadabad – 380009. 1st Floor, Mahavir Apartment, Above Swastik Police Station, Near Moti Tanki Circle, Rajkot – 360001. 303, The Emerald, Near Chakli Circle, Race Course, Vadodara – 390007. **Hyderabad:** 6-3-1085/D/702, 203, 2nd Floor, Dega Towers, Rajbhawan Road, Somajiguda, Hyderabad – 500083. **Rajasthan:** 2nd Floor, Katewa Bhawan, Opposite Ganpati Plaza, M. I. Road, Jaipur - 302001. **Jharkhand:** 106, 1st Floor, Satya Ganga Arcade, Lalji Hirji Road, Ranchi -834001. 8th Sanghi Mansion, Near Ram Mandir Area, Main Road Bistupur, Jamshedpur - 831 001. **Karnataka:** Office No. 809, 8th Floor, Prestige Meridian-I, M G Road, Bengaluru-560001. **Kerala:** P M Arcade, 1st Floor, Kavalakkal Junction, Kaloor Kadavanthra Road, Kochi – 682017. **Maharashtra:** Naman Midtown, 'A' Wing, 21st Floor, Senapati Bapat Marg, Prabhadevi, Mumbai 400 013. Rajendra Chamber, Ground Floor, Office No. 4&5, Nanabhai Lane, Opposite Akbar Ali, Near Fountain Inn Hotel, Fort, Mumbai- 400 001. Shop No. 10 & 11, Shop Zone, M G Road, Next to Bank of India, Ghatkopar-West, Mumbai-400086. Aditya Centegra, Office No.18, 3rd Floor, Dnyaneshwar Paduka Chowk, Ferguson College Road, Shivaji Nagar, Pune - 411004. **New Delhi:** Office No: 909 - 914, 9th Floor, Kancharjanga Building, Barakhamba Road, Connaught Place, New Delhi - 110 001. **Oriassa:** Plot No 381/5/A, 1st Floor, 5 Janpath Road, Behind Kalsi Petrol Pump, Kharvel Nagar, Bhubaneswar – 751001. **Punjab:** S.C.O 8, 1st Floor Equinox Building, Feroze Gandhi Market, Ludhiana -141001. **Raipur:** Shop No F-14, 1st Floor, Raheja Tower, Near Fafadih Square, Jail Road, Raipur – 492001. **Uttar Pradesh:** 8 Upper Ground Floor, Vaishali Arcade, 6 Park Road, Hazratganj, Lucknow- 226001. Office No: 111, 1st Floor, Kan Chamber, Civil Lines, Kanpur-20800. **Uttarakhand:** 1st Floor, Ankur Tower, 166/296, Rajpur Road, behind HDFC Bank, Dehradun-248001. **West Bengal:** Marble Arch, 5th Floor, Room No-504, 236B AJC Bose Road (Lee Road), Kolkata-700020. Shop No. 11, Shelcon Plaza, 3rd Floor, Sevoke Road, Siliguri, - 734 001. 2/11, 1st Floor, Suhatta Mall, City Center, Durgapur - 713 216.

### BRANCH OFFICES OF KFIN TECHNOLOGIES PRIVATE LIMITED

**ANDHRA PRADESH:** • Plot No. 12-313, Balaji Towers, Suryanagar, Ananthapur Village, **Anantapur** – 515001 • D No. 234-7-72/73, KKS Plaza, Munukutla Vari Street, Opp. Andhra Hospitals, RR Peta, **Eluru** – 534002 • 2nd Shatter, 1st Floor, H.No. 6-14-48, 14/2 Lane, Arundal Pet, **Guntur** – 522002 • Shop No. 47, 2nd Floor, S komda Shopping mall, **Kurnool** – 518001 • D No. 16-5-66, Ramarao Complex, No. 2, Shop No. 305, 3rd Floor, Nagula Mitta Road, **Nellore** – 524001 • D.No. 6-1-4, Rangachary Street, T. Nagar, Near Axis Bank Street, **Rajahmundry** – 533101 • D No. 4-4-97, 1st Floor, Pedda Relli Veedhi, Palakonda Road, Behind Sri Vijayaganapathi Temple, **Srikakulam** – 532001 • H.No. 10-13-425, 1st Floor, Tilak Road, Opp. Sridevi Complex, **Tirupathi** – 517501 • D No. 20-20-29, 1st Floor, Dharmapuri Road, Surya Nagar, Kalavapuvvu Meda, Near Ayodhya Stadium, **Vizianagaram** – 535002 • H.No. 26-23, 1st Floor, Sundaramma Street, Gandhi Nagar, Krishna, **Vijayawada** – 520010 • D No. 48-10-40, Ground Floor, Surya Ratna Arcade, Srinagar, Opp. Road to Lalitha Jeweller Showroom, Beside Taj Hotel Ladge, **Visakhapatnam** – 530016. **ASSAM:** • 1st Floor, Baijrangbali Building, GS Road, Near Bora Service Station, **Guwahati** – 781007 • Chowchakra Complex, N.N. Dutta Road, Premtala, **Silchar** – 788001.

**BIHAR:** • 2nd Floor, Chandralok Complex, Radha Rani Sinha Road, Ghantaghar, **Bhagalpur** – 812001 • C/o Dr Hazari Prasad Sahu, Ward No. 13, Begusarai (Bihar), Behind Alka Cinema, **Begusarai** – 851117 • Jaya Complex, 2nd Floor, Chowk, Above Future Planet, Donar, **Darbhanga** – 846003 • Property No. 711045129, Ground Floor, Hotel Skylark, Swaraipuri Road, **Gaya** – 823001 • 1st Floor, Saroj Complex, Diwam Road, Near Kalyani Chowk, **Muzaffarpur** – 842001 • 3-A, 3rd Floor, Anand Tower, Exhibition Road, Opp. ICICI Bank, **Patna** – 80000.

**CHATISGARH:** • Shop No. 306, 3rd Floor, Anandam Plaza, Vyapar Vihar Main Road, **Bilaspur** – 495001 • Office No. 2, 1st Floor, Plot No. 9/6, Nehru Nagar [East], **Bhilai** – 490020 • Nidhi Biz Complex, Plot No. 5, T. P. Nagar, Near Patidar Bhawan, **Korba** – 495677 • Office No. S-13, 2nd Floor, Reheja Tower, Fafadih Chowk, JAIL Road, **Raipur** – 492001.

**GOA:** • Flat No.1-A, H.No.13/70, Timotio Bldg, Heliodoro Salgado Road, Next to Navhind Bhavan (Market Area), **Panjim** – 403001 • 2nd Floor, Dalal Commercial Complex, Pajifond, **Margao** – 403601.

**GUJARAT:** • Office No. 401, 4th Floor, ABC-1, Off. C G. Road, **Ahmedabad** – 380009 • B-42, Vaibhav Commercial Center, Grid Char Rasta, Near TVS Down Town Show Room, **Anand** – 380001 • 203, Corner point, Jetapur Road, Baroda Gujarat, **Baroda** – 390007 • 123, NEXUS Business Hub, Makampur Road, Near Gangotri Hotel, B/s Rajeshwari Petroleum, **Bharuch** – 392001 • 303, Sterling Point, Waghawadi Road, **Bhavnagar** – 364001 • 123, 1st Floor, Megh Malhar Complex, Sector - 11, Opp. Vijay Petrol Pump, **Gandhinagar** – 382011 • Shop # 12, Shree Ambica Arcade, Plot #300, Ward 12, Opp. CG High School, Near HDFC Bank, **Gandhidham** – 370201 • 124-125, Punit Shopping Center, MG Road, Ranavav Chowk, **Junagadh** – 362001 • 131, Madhav Plaza, Opp. SBI Bank, Near Lal Bunglow, **Jamnagar** – 361008 • F-F-21, Someshwar Shopping Mall, **Mehsana** – 384002 • 311-3rd Floor, City Center, Near Paras Circle, **Nadiad** – 387001 • 103, 1st Floor, Landmark Mall, Near Sayaji Library, Navsari, **Navsari** – 396445 • 302 Meter Plaza, Near Moti Tanki Chowk, **Rajkot** – 360001 • Office No. -516, 5th Floor, Empire State Building, Ring Road, Near Udhna Darwaja, **Surat** – 395002 • 406, Dreamland Arcade, Tithal Road, Opp. Jade Blue, **Valsad** – 396001 • A-8 First Floor, Solitaire Business Centre, Silvassa Road, Opp. DCB Bank GIDC Char Rasta, **Vapi** – 396191.

**HARYANA:** • 6349, 2nd Floor, Nicholson Road, Adjacent Kos Hospital, Ambala Cant, **Ambala** – 133001 • A-2B, 2nd Floor, Nehru Groundint, Neelam Bata Road, Peer Ki Mazar, **Faridabad** – 121001 • No. 212-A, 2nd Floor, MG Road, Vipul Agora, **Gurgaon** – 122001 • Shop No. 20, Ground Floor, RD City Centre, Railway Road, **Hissar** – 125001 • 18/369 Char Chaman, Kunjapura Road, Behind Miglani Hospital, **Karnal** – 123001 • Preet Tower, 3rd Floor, G.T. Road, Behind Akash Institute, Near NK Tower, **Panipat** – 132103 • Shop No. 14, Ground Floor, Delhi Road, Delhi, **Rohtak** – 124001 • 2nd Floor, DP Tower, Model Town, Near Subhash Chowk, **Sonepat** – 131001 • B-V, 185/A, 2nd Floor (UCO Bank Building), Pyara Chowk, Jagadri Road, Near DAV Girls College, **Yamuna Nagar** – 135001.

**HIMACHAL PRADESH:** • 1st Floor, Hills View Complex, Near Tara Hall, **Shimla** – 171001 • Disha Complex, 1st Floor, Rajgarh Road, Above Axis Bank, **Solan** – 173212.

**JAMMU & KASHMIR:** • Gupta's Tower, 2nd Floor, CB-12, Rail Head Complex, **Jammu** – 180012.

**JHARKHAND:** • B-1, 1st Floor, City Centre, Sector- 4, Near Sona Chandhi Jewellers, **Bokaro** – 827004 • 208, 2nd Floor, New Market, Bank More, **Dhanbad** – 826001 • Madhukunj, 3rd Floor, Q Road, Sakshi, Bistupur East Singbhum, **Jamshedpur** – 831001 • Room No. 307, 3rd Floor, Commerce Tower, Beside Mahabir Tower, **Ranchi** – 834001.

**KARNATAKA:** • CTS No. 3939 / A2-A1, Club Road, Beside Harsha Appliances, Above Raymonds Show Room, **Belgaum** – 590001 • No. 35, Puttanna Road, Basavanagudi, **Bangalore** – 560004 • Shree Gayathiri Towers - 4, 1st Floor, KHB Colony, Gopalaswamy Mudaliar Road, Gandhi Nagar, **Bellary** – 583103 • 307/9-A, 1st Floor, Elite Business Center, Nagarkar Colony, P B Road, **Dharwad** – 580001 • D No. 162/6, 1st Floor, 3rd Main, PJ Extension, Davangere taluk, Davangere Manda, **Davangere** – 577002 • H.No. 2-231, Krishna Complex, 2nd Floor, Jagat Station Main Road, Kalaburagi, Opp. Municipal Corporation Office, **Gulbarga** – 585105 • SAS No. 212, Ground Floor, Sampige Road, 1st cross, Near Hotel Souther Star, KR Puram, **Hassan** – 573201 • CTC No. 483/A1/A2, Ground Floor, Shri Ram Palza, Behind Kotak Mahindra Bank, **Hubli** – 580029 • Mahendra Arcade, Karangal Padi, Opp. Court Road, **Mangalore** – 575003 • L-350, Silver Tower, Ashoka Road, Opp.Clock Tower, **Mysore** – 570001 • Sri Matra Naika Complex, 1st Floor, LLR Road Durgigudi, Above Shimoga Diagnostic Centre, **Shimoga** – 577201.

**KERALA:** • 1st Floor, JP Towers, Mullaackal, KSRTC Bus Stand, **Alleppey** – 688011 • 2nd Floor, Manimuriyil Centre, Bank Road, Kasaba Village, **Calicut** – 673001 • Ali Arcade, 1st Floor, Kizhavana Road, Panampilly Nagar, Near Atlantis Junction, **Ernakulam** – 682036 • Ground Floor, Narayanan Shopping Complex, Kausthubhsree Block, Kadapakada, **Kollam** – 691008 • 2nd Floor, Prabhathi Complex, Fort Road, Nr. ICICI Bank, **Kannur** – 670001 • 1st Floor, Csiascension Square, Railway Station Road, Collectorate P O, Dist: Paschim Medinipur, **Kottayam** – 686002 • 2nd Floor, Peekays Arcade, Down Hill, **Malappuram** – 676505 • No. 20 & 21, Metro Complex H.P.O. Road, **Palakkad** – 678001 • 2nd Floor, Sasthamangalam, Opp. Head Post Office, **Trivandrum** – 695010 • 2nd Floor, Erinjery Complex, Ramanchira, Opp. Axis Bank, **Thiruvalla** – 689107.

**MADHYA PRADESH:** • Plot No. 48-A, Gurukripa Plaza, Zone-2, MP Nagar, Opp. City Shopping, **Bhopal** – 462011 • City Centre, Near Axis Bank, **Gwalior** – 474011 • 19/1, New Palasia Balaji Corporate 203-204-205, Janjeerwala Square Indore, Above ICICI bank 19/1 New Palasia, Near Curewell Hospital, **Indore** – 452001 • 3rd Floor, RR Tower, 5 Lajpatkunj, Near Tayabali Petrol Pump, **Jabalpur** – 482001 • House No. HIG 959, Near Court, Front of Dr. Lal Lab, Old Housing Board Colony, **Morena** – 476001 • 1 Nagpal Bhawan, Free Ganj Road, Do Batti, Near Nokia Care, **Ratlam** – 457001 • Shop No. 2, Shree Sai Anmol Complex, Ground Floor, Opp. Teerth Memorial Hospital, **Rewa** – 486001 • 2nd Floor, 5 civil lines, Sagar, Above Shiva Kanch Mandir, **Sagar** – 470002 • 1st Floor, Gopal Complex, Rewa Road, Near Bus Stand, **Satna** – 485001 • A. B. Road, In Front of Sawarkar Park, Near Hotel Vanasthali, **Shivpuri** – 473551 • 101 Aashta Tower, 13/1 Dhanwantri Marg, Freeganj, **Ujjain** – 456010.

**MAHARASHTRA:** • Yamuna Tarang Complex, Shop No. 30, Ground Floor, NH No. 06, Murtizapur Road, **Radhakrishna Talkies, Akola** – 444004 • Shop No. 21, 2nd Floor, Gulshan Road, Near Panchsheel

Talkies, Jaistambh Square, **Amaravathi** – 444601 • Ramkunj Niwas, Railway Station Road, Near Osmanpura Circle, **Aurangabad** – 431005 • 24/B, Raja Bahadur Compound, Ambalal Doshi Marg, Behind BSE Building, **Mumbai** – 400001 • Ground Floor, Ideal Laundry Lane No 4, Khol Galli, Near Muthoot Finance, Opp. Bhavasar General Store, **Dhule** – 424001 • 269, Jaee Vishwa 1st Floor, Baliram Peth, Above United Bank of India, Near Kishor Agencies, **Jalgaon** – 425001 • 605/1/4 E-Ward, Shahupuri 2nd Lane, Laxmi Niwas, Near Sultane Chambers, **Kolhapur** – 416001 • Plot No. 2/1 H No. 102/1, Mangaldeep Appartment, Mata Mandir Road, Opp. Khandelwal Jewellers Dharampeth, **Nagpur** – 440010 • Shop No. 4, Santakripa Market G G Road, Opp. Bank of India, **Nanded** – 431601 • Office # 207-210, Second Floor, Kamla Arcade, JM Road, Opp. Balgandharva, Shivaji Nagar, **Pune** – 411005 • Block No. 06, Vaman Nagar, Jule, Opp. D-Mart, **Solapur** – 413004 • Shop No. 1, Ground Floor, Dipti Jyothi Co operative Housing Society, PM Road, Near MTNL office, **Vile Parle East, Mumbai** – 400057 • Room No. 302, 3rd Floor, Ganga Prasad, Ram Maruti Cross Road, Naupada, Near RBL Bank Ltd, **Thane West, Mumbai** – 400602 • Shop No. 324, 1st Floor, C-Wing, Vashi Plaza, Sector 17, **Vashi, Mumbai** – 400705 • Gomati Smuti, Ground Floor, Jambli Gully, Near Railway Station, **Borivali, Mumbai** – 400 092.

**MEGHALAYA:** • Annex Mani Bhawan, Lower Thana Road, Near R K M LP School, **Shillong** – 793001.

**NEW DELHI:** • 305, New Delhi House, 27 Barakhamba Road, **New Delhi** – 110001

**ORISSA:** • 3rd Lane, Dharam Nagar, Near Lohiya Motor, Opp. Divya Nandan Kalyan Mandap, **Berhampur** – 760001 • A/181, Saheed Nagar, Back Side of Shivam Honda Show Room, **Bhubaneswar** – 751007 • 1-B, 1st Floor, Kalinga Hotel Lane, Baleshwar Sadar, **Balasure** – 756001 • Shop No. 45, 2nd Floor, Netaji Subas Bose Arcade, Big Bazar Building, Dargha Bazar, Adjacent To Reliance Trends, **Cuttack** – 753001 • 2nd Floor, Main Road, Uditi Nagar, Sundargarh, **Rourkela** – 769012 • First Floor, Shop No. 219, SAHEJ Plaza, Golebazar, Sambalpur, **Sambalpur** – 768001.

**PONDICHERRY:** • No. 122(10b), Muthumariamman Koil Street, **Pondicherry** – 605001.

**PUNJAB:** • 72-A, Taylor's Road, Opp. Aga Heritage Club, **Amritsar** – 143001 • 2047-A, 2nd Floor, The Mall Road, Above Max New York Life Insurance, **Bhatinda** – 151001 • 1st Floor, Chawla Building, The Mall Road, Opp. Centrail Jail, Near Hanuman Mandir, **Ferozepur** – 152002 • 1st Floor, The Mall Tower, Sutheri Road, Opp. Kapila Hospital, **Hoshiarpur** – 146001 • Office No. 7, 3rd Floor, City Square Building, E-H-197, Civil Lines, **Jalandhar** – 144001 • SCO 122, 2nd Floor, Feroze Gandhi Market, Above HDFC Mutual Fund, **Ludhiana** – 141001 • 1st Floor, Dutt Road, Civil Lines, Bara Garh Mandir Wali Gali, **Moga** – 142001 • 2nd Floor, Sahni Arcade Complex, Railway Road, Adf. Indira Colony Gate, **Pathankot** – 145001 • SCO 27 D, Chhotti Rajadhar, Near Car Bazaar, **Patiala** – 147001.

**RAJASTHAN:** • 302, 3rd Floor, Ajmer Auto Building, Jaipur Road, Opp. City Power House, **Ajmer** – 305001 • 137, Jai Complex, Road No. 2, **Alwar** – 301001 • Shop No. 27-28, 1st Floor, Pur Road, Heera Panna Market, **Bhilwara** – 311001 • 70-71, 2nd Floor, Dr. Chahar Building, Panchsati Circle, Sadul Ganj, **Bikaner** – 334003 • S 16/A 3rd Floor, Land Mark Building, Mahaveer Marg C Scheme, Opp. Jai Club, **Jaipur** – 302001 • Shop No. 6, Ground Floor, Gang Tower, Opp. Arora Moter Service Centre, Near Bombay Motor Cycle, **Jodhpur** – 342003 • D-8, Shri Ram Complex, Gumanpur, Opp. Multi Purpose School, **Kota** – 324007 • Shop No. 5, NH - 15, Opp. Bihani Petrol Pump, Near Baba Ramdev Mandir, **Sri Ganganagar** – 335001 • First Floor, Super Tower, Behind Ram Mandir, Near Taparyia Bagichi, **Sikar** – 332001 • Shop No. 202, 2nd Floor, Business Centre, 1C Madhuvan, Opp. G P O Chetak Circle, **Udaipur** – 313001.

**TAMIL NADU:** • 3rd Floor, 1057, Jaya Enclave, Vinashini Road, **Coimbatore** – 641018 • No. 59B, New Pensioner Street, Palani Road, Opp. Gomathi Lodge, **Dindigul** – 624001 • 38/1 Ground Floor, Sorna Krishna Complex, Sathy Road, (VCTV Main Road), **Erode** – 638003 • No. 88/11, B. B Plaza, NRMP Street, K S Mess Back side, **Karur** – 639002 • F-11, Akshaya Plaza, 1st Floor, 108, Adhithanar Salai Egmore, Opp. To Chief Metropolitan Court, **Chennai** – 600002 • No. G-16/17, A R Plaza, 1st floor, North Veli Street, **Madurai** – 625001 • 146/4, 1st Floor, Ramanathan Building, New Scheme Road, **Pollachi** – 642002 • No. 3/250, 6th Cross Perumal kovil, Back Side Fairland's, **Salem** – 636016 • 4 - B A34 - A37, Palayamkottai Road, Mangalmal Mani Nagar, Opp. Rajaji Park, **Tuticorin** – 628003 • No 1, Basement, Nallaiyagar Complex, Srinivasam Pillai Road, **Thanjavur** – 613001 • No. 23C/1-E, V R Road, Near Vekkalkiamman Kalyana Mandapam, Putthur, **Trichy** – 620017 • No. 669A, Kamaraj Road, Near old collector office, **Tirupur** – 641604 • 55/18, Jeney Building, S N Road, Near Aravind Eye Hospital, **Tirunelveli** – 627001 • No 6 NEXUS Towers, 2nd Floor, Officer's Line, Above Peter England & Bata Showroom, Opp. to Voorhees School, **Vellore** – 632001.

**TELANGANA:** • No. 303, Vamssee Estates, Ameerpet, Opp. Big Bazar, **Hyderabad** – 500016 • Selenium Plot No. 31 & 32, Tower-B, Survey No.115/22 115/24 115/25, Financial District Gachibowli Nanakramguda, Serilingampally Mahata, **Hyderabad** – 500032 • 2nd Shutter H No. 7-2-607, Sri Matha Complex, Mankammathanda, **Karimnagar** – 505001 • Shop No22, Ground Floor, Warangal City Center, 15-1-237, Mulugu Road Junction, **Warangal** – 506002.

**TRIPURA:** • Old RMS Chowmuhan, 1st Floor, Mantri Bari Road, Near Traffic Point, Tripura West, **Agartala** – 799001.

**UTTAR PRADESH:** • House No. 17/2/4, 2nd Floor, Deepak Wasan Plaza, Sanjay Place, Behind Hotel Holiday INN, **Agra** – 282002 • Sebti Complex Centre Point, **Aligarh** – 202001 • Meena Bazar, 2nd Floor, 10, SP Marg, Civil Lines, Subhash Chauraha, Prayagraj, **Allahabad** – 211001 • House No. 290, Ground Floor, Civil lines, Near Sahara Office, **Azamgarh** – 276001 • 1st Floor, Rear Side, A - SQUARE Building, 54-Civil Lines, Ayub Khan Chauraha, **Bareilly** – 243001 • K. K. Plaza, Civil Lines Road, Above Apurwa Sweets, **Deoria** – 274001 • H.No. 782, Shiv Sadan, Civil lines, ITI Road, Near Raghukul Vidhyapeeth, **Gonda** – 271001 • House No. 148/19, Mahua Bagh, **Ghaziapur** – 233001 • Bank Road, Above VIP House, Adjacent AD. Girls College, **Gorakpur** – 273001 • FF - 31, Konark Building, Rajnagar, **Ghaziabad** – 201001 • 1st Floor, Puja Tower, ELITE Crossing, Near 48 Chambers, **Jhansi** – 284001 • RN Complex, 1-1-9-G, Opp. Pathakh Honda, Above Oriental Bank of Commerce, **Jaunpur** – 222002 • 15/46-B, Ground Floor, Civil Lines, Opp. Muir Mills, **Kanpur** – 208001 • 1st Floor, A. A. Complex, 5 Park Road, Hazratganj Thaper House, **Lucknow** – 226001 • Shop No. 9, Ground Floor, Vihari Lal Plaza, Near New Bus Stand, **Mathura** – 281001 • House No. 99/11, 3rd Floor, School Bazar, Opp. GSS Boy School, **Mandi** – 175001 • H No. 5, Purva Eran, Hapur Road, Opp. Syndicate Bank, **Meerut** – 250002 • Ground Floor, Triveni Campus, Ratan Ganj, **Mirzapur** – 231001 • Chadha Complex, G. M. D. Road, Near Datt Khana Chowk, **Moradabad** – 244001 • 405, 4th Floor, Vishal Chamber, Plot No. 1, Sector-18, **Noida** – 201301 • C/o Mallick Medical Store, Bangali Katra Main Road, Dist. Sonebhadra, **Renukot** – 231217 • 12/12, Surya Complex, Station Road, **Sitapur** – 261001 • 18 Mission Market, Court Road, **Saharanpur** – 247001 • 1st Floor, Ramashanker Market, Civil Line, **Sultanpur** – 228001 • D-64/132, 2nd Floor, Settlement Plot No. 478, Pargana, KA, Mauza, Shivpurwa, Dehat Amanat, Mohalla Sika, **Varanashi** – 221010.

**UTTARANCHAL:** • Kaulgarh Road, Near Sirmaur Park, above Reliance Worldweb, **Dehradun** – 248001 • Shop No. 13, 1st Floor, Bhatia Complex, Near Jamuna Palace, **Haridwar** – 249410 • Shoop No. 5, KMVN Shopping complex, **Haldwani** – 263139 • Shree Ashadeep Complex 16, Civil Lines, Near Income Tax Office, **Roorkee** – 247667.

**UNION TERRITORY:** • 1st Floor, SCO 2469-70, Sec. 22-G, **Chandigarh** – 160022.

**WEST BENGAL:** • 112, BHANGA PACHIL, NGT Road, Paschim Barchaman, West Bengalo. **Asansol** – 713303 • Plot No. 80/1, Anatunchati Mahalla, 3rd Floor, Ward No. 24, Bankura Town, Opp. PC Chandra, **Bankura** – 722101 • Anima Bhavan, 1st Floor, Holding No. 42, Sreepally GT Road, **Burdwan** – 713103 • C-Block, 3rd Floor, Apeejay House, 15 Park Street, Beside Park Hotel, **Kolkata** – 700016 • 2nd Floor, Room No.-226, R. N. Mukherjee Road, **Kolkata** – 700 001 • No. 96, Doctors Lane, PO Chinsurah, **Chinsurah** – 712101 • Mwav-16, 2nd Floor, City Centre, Distt. Burdwan, **Durgapur** – 713216 • DBC Road, Opp. Nirala Hotel, **Jalpaiguri** – 735101 • Holding No. 254/220, SBI Building, Malancha Road, Ward No.16, P O Kharagpur, Dist: Paschim Medinipur, **Kharagpur** – 721304 • Ground Floor, Ram Krishna Palli, English Bazar, **Malda** – 732101 • Nanak Complex, 2nd Floor, Sevoke Road, **Siliguri** – 734001.

### COLLECTION CENTRES OF KFIN TECHNOLOGIES PRIVATE LIMITED

**MAHARASHTRA:** Shop No. 1, Ground Floor, Dipti Jyothi Co-Operative Housing Society, Near MTNL Office, P M Road, **Vile Parle East, Mumbai** 400057 • Gomati Smuti, Ground Floor, Jambli Gully, Near Railway Station, **Borivali West, Mumbai** 400 092 • Room No. 302, 3rd Floor, Ganga Prasad, Near RBL Bank Ltd, Ram Maruti Cross Road, Naupada, **Thane West, Mumbai** 400602 • Vashi Plaza, Shop No. 324, C Wing, 1st Floor, Sector 17, **Vashi, Mumbai** 400705.

**TAMILNADU:** No. 23, Cathedral Garden Road, Cathedral Garden Road, Nungambakkam, **Chennai** 600034.

#### Notes:

- The center is only a collection point with Time-stamping impression.
- This center will not have capability of scrutiny. All transactions are scrutinize and rejections if any will happen only at local branch.
- Any TSM failures, despite the branch efforts to maintain it, may lead to non-acceptance of transactions.
- Only fully compliant transactions are accepted at this location. In case, fresh purchase the transactions should have the KYC acknowledgement slip along with them.
- Liquid transactions/NFOs are not handled here.
- Only Equity Schemes and few of FMP's (supporting above guidelines only) are accepted at this location.



## **ITI Asset Management Limited**

**Registered Office:**

Naman Midtown 'A' Wing, 21st Floor  
Senapati Bapat Marg, Elphinstone Road, Mumbai 400 013  
CIN: U67100MH2008PLC177677

**Toll Free Number: 1800-266-9603 | Non Toll Free Number: 022-6621 4999 | Email: [mfassist@itiorg.com](mailto:mfassist@itiorg.com)**

**[www.itiamc.com](http://www.itiamc.com)**